

PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.

1. Agency/Subagency originating request	2. OMB control number b. <input type="checkbox"/> None a. _____ - _____
3. Type of information collection (<i>check one</i>) a. <input type="checkbox"/> New Collection b. <input type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change, of a previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change, of a previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number For b-f, note Item A2 of Supporting Statement instructions	4. Type of review requested (<i>check one</i>) a. <input type="checkbox"/> Regular submission b. <input type="checkbox"/> Emergency - Approval requested by _____ / _____ / _____ c. <input type="checkbox"/> Delegated
	5. Small entities Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input type="checkbox"/> No
	6. Requested expiration date a. <input type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other Specify: _____ / _____
7. Title	
8. Agency form number(s) (<i>if applicable</i>)	
9. Keywords	
10. Abstract	
11. Affected public (<i>Mark primary with "P" and all others that apply with "x"</i>) a. <input type="checkbox"/> Individuals or households d. <input type="checkbox"/> Farms b. <input type="checkbox"/> Business or other for-profit e. <input type="checkbox"/> Federal Government c. <input type="checkbox"/> Not-for-profit institutions f. <input type="checkbox"/> State, Local or Tribal Government	12. Obligation to respond (<i>check one</i>) a. <input type="checkbox"/> Voluntary b. <input type="checkbox"/> Required to obtain or retain benefits c. <input type="checkbox"/> Mandatory
13. Annual recordkeeping and reporting burden a. Number of respondents _____ b. Total annual responses _____ 1. Percentage of these responses collected electronically _____ % c. Total annual hours requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____	14. Annual reporting and recordkeeping cost burden (<i>in thousands of dollars</i>) a. Total annualized capital/startup costs _____ b. Total annual costs (O&M) _____ c. Total annualized cost requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____
15. Purpose of information collection (<i>Mark primary with "P" and all others that apply with "X"</i>) a. <input type="checkbox"/> Application for benefits e. <input type="checkbox"/> Program planning or management b. <input type="checkbox"/> Program evaluation f. <input type="checkbox"/> Research c. <input type="checkbox"/> General purpose statistics g. <input type="checkbox"/> Regulatory or compliance d. <input type="checkbox"/> Audit	16. Frequency of recordkeeping or reporting (<i>check all that apply</i>) a. <input type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure c. <input type="checkbox"/> Reporting 1. <input type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input type="checkbox"/> Monthly 4. <input type="checkbox"/> Quarterly 5. <input type="checkbox"/> Semi-annually 6. <input type="checkbox"/> Annually 7. <input type="checkbox"/> Biennially 8. <input type="checkbox"/> Other (describe) _____
17. Statistical methods Does this information collection employ statistical methods <input type="checkbox"/> Yes <input type="checkbox"/> No	18. Agency Contact (person who can best answer questions regarding the content of this submission) Name: _____ Phone: _____

19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

NOTE: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
 - (i) Why the information is being collected;
 - (ii) Use of information;
 - (iii) Burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, mandatory);
 - (v) Nature and extent of confidentiality; and
 - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee

Date

Agency Certification (signature of Assistant Administrator or head of MB staff for L.O.s, or of the Director of a Program or Staff Office)	
Signature	Date
Signature of NOAA Clearance Officer	
Signature	Date

REQUEST FOR OMB CLEARANCE
(OMB # 0648-0271)

"Northwest Region Logbook Family of Forms"

Supporting Statement For Information Collection
Northwest Region, NOAA Fisheries

This statement supports a request for renewal of OMB clearance of OMB #0648-0271, Northwest Region Logbook Family of Forms. The existing clearance for this information collection will expire on June 30, 2000.

This collection is authorized by the Pacific Coast Groundfish Fishery Management Plan (FMP) which was developed by the Pacific Fisheries Management Council (Council) under the Magnuson-Stevens Fisheries Conservation Management Act (Magnuson Act), 16 USC 1801 et seq. The FMP governs the groundfish fishery off Washington, Oregon, and California.

Introduction

This information collection takes the form of a comprehensive Federal Fisheries data collection program which includes recordkeeping and reporting requirements for fish processing vessels over 125 feet in length (at-sea processors which includes catcher/processors and motherships) and catcher vessels that deliver to motherships operating in the WOC. (An earlier statement included a Federal permit for mothership vessels, but it has been removed from this information collection and incorporated into the collection at # 0648-0203.)

This data collection statement was originally submitted for review in 1991. The proposed rule was not published in the Federal Register until November 19, 1992 (57 FR 54552). For a number of reasons, mainly changes in priorities, the final rule has not yet been filed. The at-sea processing industry has recognized the importance of these data requirements and continues to submit voluntarily most of the information covered by this information collection. This cooperation has been exceptional, but it may not continue indefinitely and therefore we expect to complete the rulemaking process. We therefore are requesting continued approval of this information collection.

This collection of information requires that: (1) all processing vessels that process their own catch maintain Daily Fishing and Cumulative Production (DFCPL) and Transfer/Offloading Logs; (2) all processing vessels (motherships) that receive fish from fishing vessels must maintain the Daily Report of Fish Received and Cumulative Production Logbooks (DRCPL) and Transfer/Offloading Logbooks; (3) all fishing vessels delivering unprocessed groundfish to a processing vessel must maintain the daily fishing part of the DFCPL; (4) all processing vessels must notify the Northwest Region, National Marine Fisheries Service (NMFS) 24 hours before the initiation of fishing, receiving or processing, and 24 hours prior to leaving the WOC fishing area; and (5) all processing vessels submit, on at least a weekly basis, catch information that would summarize daily production by species and product form.

Regulations implementing the information collection are necessary to provide adequate information on which to base both inseason and inter-season management decisions affecting the Pacific groundfish resource, particularly Pacific whiting, and the fisheries that utilize it. A copy of the proposed regulations implementing the information collection is attached.

1. Explain the circumstances that make the collection of information necessary. Joint venture (JV) and foreign Pacific whiting fisheries off WOC supplied valuable biological and fishery performance information through the foreign logbook and observer programs. Until 1991, these fisheries were the principal source of fishery management data used for both inseason monitoring of the Pacific whiting fishery and for evaluating existing and proposed management measures. By 1991, foreign and joint venture operations were completely displaced by domestic operations, as intended by the Magnuson Act. However, logbook data necessary for management decisions was not available from the domestic processing fleet.

The need for this information is explicit in the management goals and objectives established by the Council, as well as in the Magnuson Act, Executive Order 12866, the Regulatory Flexibility Act, and other relevant Federal regulations. These Federal regulations mandate, for example, that concise biological and economic analyses be completed to assess all relevant effects of proposed changes in management measures. These regulations place specific burdens upon the Council and the Secretary of Commerce to consider the biological, economic, and social implications of, not only a preferred alternative, but of other reasonable options available to them. Attainment of this level of assessment is highly dependent upon the quality and timeliness of the biological and economic data available for analysis.

Most fishing vessels that harvest groundfish off WOC deliver their fish to port in one of the three states fairly frequently. The shore-side processors receiving the fish submit fish tickets to the States in a timely manner. The vessels also submit fishing logbooks to the States that provide the catch and effort data needed to provide a management database. However, large processing vessels and the harvesting vessels that deliver to them may not land fish shoreside in the WOC at all, and thus they will not be providing the fish ticket and logbook information provided by smaller vessels. Most processors do not land shoreside in the WOC. However, some vessels do, but its processed product and may be landed after such a long period at sea that the information is too late to do any good in quota monitoring. Thus, this reporting requirement is designed to apply to large processing vessels, and catcher vessels that deliver to them, that are not covered by current state reporting requirements.

The information being requested from these vessels is particularly important because this fishery has the potential to have a large impact on the resource and National Oceanic and Atmospheric Administration (NOAA) needs to be able to monitor it in real time. NOAA and the Council need the monitoring program to understand the impact of the fishery not only on the Pacific whiting resource, but also on other resources in the area. In general terms, this information includes the following: (1) fishing effort; 2) retained Pacific groundfish catch; 3) discard amounts including prohibited species; 4) production; and 5) employment.

In summary, the importance of having adequate domestic fishery management information has increased as the domestic fisheries have replaced foreign and joint venture fisheries and as various

sectors of the domestic industry compete for specific allocations. Inadequate information can adversely affect the viability of the domestic industry in the very competitive world market for whiting. Although the at-sea processing fleet has submitted most of this information voluntarily, we cannot assume it will continue to do so. The lack of adequate information could result in the fishery management decision-making process being less objective, more political, and potentially less equitable, and could result in allocations or harvest guidelines being exceeded. This can decrease the credibility of the fishery management process and result in an unnecessarily costly and ineffective management system.

2. Explain how, by whom, how frequently, and for what purpose the information is to be used. This section summarizes the type of information that would be recorded and reported by the operators of vessels subject to these regulations and some of the more important uses of the information collected. Without this information collection, Federal management of the WOC Pacific whiting fisheries will be plagued with the problems discussed above that evolve from inadequate fishery information. Continuation of these problems could have adverse impacts on (1) the long-term biological stability and economic yield of the Pacific whiting resource; (2) the efficiency and economic viability of the domestic Pacific whiting industry; and (3) the credibility of the fishery management process itself.

The specifics of how, by whom, and for what purpose this information would be used is explained below; examples of logbook and reporting forms are presented in the Appendix.

DAILY FISHING AND CUMULATIVE PRODUCTION LOG (DFCPL);
DAILY REPORT OF FISH RECEIVED AND CUMULATIVE PRODUCTION LOG (DRCPL).
Processing vessels that process their own catch would be required to maintain a DFCPL. Motherships (processing vessels that only receive fish from harvesting vessels) would be required to maintain a DRCPL. The DFCPL and DRCPL are identical except that the DFCPL combines the production log with a fishing log and the DRCPL combines the production log with a record of fish received from other vessels. Harvesting vessels delivering to a processing vessel would be required to maintain the fishing log section of the DFCPL. The DFCPL and DRCPL logs record daily catch or catch receipt information, along with daily and cumulative production information.

The daily fishing portion of the DFCPL includes: 1) vessel and gear specifications; 2) haul by haul information; 3) daily information on discards; and 4) information on daily vessel activity. The haul by haul information includes the date, time, location, sea depth, trawl depth, haul weight, duration of haul. (The same information would be provided for sets if longline or pot gear is used.) The crew size information is broken out by fishing and processing crews where appropriate. The discard information is for Pacific whiting, other groundfish, and for prohibited species. The estimated daily discards of halibut, crab, and salmon are recorded in numbers. All other species discard estimates are recorded by weight. The effort information is used for inseason enforcement and for biological and economic evaluations of existing and proposed fishery management measures. The former consists primarily of activities associated with verifying information reported in Weekly Production Reports (WPR).

Original copies of the logs would remain on the vessels until the end of the fishing year (or longer if product remains on board from the WOC fishery) and would be made available to NMFS

observers and to enforcement officers. Processing vessels would be required to make the daily fishing log information for its catcher vessels available to the observer onboard the vessel. Observers would collect the effort data and use other information in the logs to assist in meeting their data collection responsibilities. The discard information maintained in the logs would assist those responsible for completing the WPR which include estimates of discards.

Information relating to estimated haul weight or catch receipt weight would be recorded in the DFCPL or DRCPL within two hours of catch or catch receipt time. Timely recording of this information is necessary: to minimize the possibility of mixing information from different hauls; so enforcement officers may account for unrecorded product inventory during at-sea inspections of the vessel; and so observers can provide manager with real-time data necessary for total whiting catch estimates. Other entries in the DFCPL and DRCPL would be updated within 12 hours of the end of the day on which the haul, receipt, or production occurred.

Each page of the DFCPL and DRCPL would include entries from only one statistical area and gear type to facilitate preparation of the WPR by processors. The WPR reflects the cumulative weekly production, as recorded in the DFCPL or DRCPL, and is used for inseason monitoring of quotas and harvest guidelines. Because quotas and harvest guidelines are often specified by statistical area and gear type, cumulative weekly production amounts must be recorded and reported by these same qualifiers.

The DFCPL and DRCPL also record daily discards by the processor. This information, along with discard information provided to processors by catcher vessel operators, would be reported on the WPR and used to obtain information relating to total fishing mortality resulting from fishing operations. A comprehensive observer program will provide Pacific whiting, other groundfish, and prohibited species discard information from a significant portion of the industry. Observers need access to discard information recorded in the logs to help assess their estimates of discard amounts, particularly of prohibited species. Furthermore, all catcher vessels and processors must record discard information to provide at least a minimum estimate of discard mortality in the event of inadequate observer coverage. In addition to total mortality estimates, recorded discard estimates would be used to derive estimates of bias resulting from intentional or unintentional misreporting of data or collection of non-representative data.

Specific information on the catcher vessel (vessel name, vessel permit number, and receipt time), together with the cumulative production information recorded in the DRCPL, would be used by enforcement officers to verify information reported on the WPRs. Product transfer information recorded in the product transfer/offload log (transfer log) would be subtracted from the verified cumulative production information in the DFCPL or DRCPL to obtain the amount of product that should be present on a processor vessel.

The logs would remain onboard the vessels during the fishing year and would be made available to observers and enforcement officers. Duplicate copies of the logbooks would be submitted to NMFS within 14 days after a processing vessel checks out of the fishery, the harvest guideline is reached, or the fishery is closed (or quarterly if the vessel remains in the fishery), to allow for timely data entry and analyses by fishery managers. (A catcher vessel that delivers to a processing vessel would submit its duplicate copy only quarterly because its catch information already has

been recorded in the processing vessel log. Quarterly submission of the vessel's effort data is adequate for management of the fishery.)

WEEKLY PRODUCTION REPORT. A weekly production report would be required of all processing vessels. It would summarize by area and gear: (1) total estimated catch or receipt weight of groundfish, and numbers of prohibited species; (2) weekly production by species and product form; and (3) product recovery rates by species and product form.

Product weights already are maintained for business purposes. Vessels will also be required to report product recovery rates along with the conversion to round weight. Round weight is used for inseason monitoring of the catches. This information will help NMFS compare vessel reported data with observer data and may be used to assess recovery rates and types of products produced at different times during the season.

The WPRs are to be submitted weekly. NMFS needs this information weekly to track the take of the quota. The fleet has the capacity to harvest large amounts in a short period. (Seasons have been as short as three weeks.) Without the weekly reports there is a high risk of exceeding a quota or harvest guideline before NMFS knows this has happened. Near the end of the season, daily submissions may be necessary to fine-tune the catch projections. This involves transmitting a copy of the daily log for perhaps the last week of the fishery, or, if the season is expected to be short (3-4 weeks), for the entire season. No additional recordkeeping is involved.

PRODUCT TRANSFER/OFFLOADING LOGBOOKS. Processing vessels would be required to maintain a transfer log. This log would record all shipments or transfers of product by species and product type, product weight (or units) and value, the name of the company or person transporting the product, the date of shipment, and the destination of the product within twelve hours of the completion of the transfer or offloading.

Each processing vessel required to maintain a transfer/offloading log would be required to submit to NMFS copies of their transfer log within fourteen days after the vessel leaves the fishery or the fishery is closed. This information assists enforcement officers in verifying reported catch, and will be compared with the original of the transfer/offloading log onboard the vessel, DFCPLs, DRCPLs, and product inventory to verify the amount of retained product reported in the WPRs.

Product weight, product types and values for each species harvested in the WOC currently are recorded on State fish tickets for vessels landing in ports in WOC. The same information is needed from processing vessels for economic analyses. However, the most immediate need is to enable enforcement personnel to compare product inventories with production and transfer logbooks.

START AND STOP NOTIFICATIONS. Before the initial start and upon completion of fishing, receiving fish, or processing groundfish from the fishery management area, a processing vessel must submit the following information: 1) vessel's name and WOC Federal permit number; 2) date and time when fishing, receipt of fish, processing will begin or the vessel will leave the area; and 3) the reporting area and vessel's position.

The purpose of start and stop notifications is to better track the fishing effort of the processing fleet, to know which vessels should be submitting weekly reports, and to help locate individual vessels in enforcement and emergency situations. The messages will be sent to the Northwest Regional Office of NMFS when appropriate and will be received within 24 hours before the start or within 24 hours after leaving the fishery management area off WOC.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology, and the basis for the decision for adopting this means of collection; describe any consideration of the use of improved technology to reduce the burden on the public. The Northwest Region will receive data by fax, computer, mail, or in person. Land-line or satellite transmission may be used. This statement assumes satellite communication.

Methods to facilitate industry submission of weekly (or daily) reporting requirements have been encouraged by NMFS. Some vessels submit their reports by computer, although most use the fax. Both are a great improvement over telex messages which were common when the initial statement was prepared. Therefore, improved information technology has been identified as a practical means for reducing the burden on the public. Direct entry into the computer will be considered in the future.

4. Describe efforts to identify duplication with other collections which may be gathering the same or similar information, and show specifically why any similar information already available cannot be used or modified for use for the purposes) described in 2. The Magnuson Act's operational guidelines require each FMP to evaluate existing State and Federal laws that govern the fisheries in question, and the findings are made part of each FMP. Each Fishery Management Council membership is comprised of State and Federal officials responsible for resource management in their area. These two processes identify other collections that may be gathering the same or similar information. State representatives were consulted and the information collection covered by this statement was tailored to cover vessels not currently covered by State reporting requirements. Without this Federal requirement, the same or similar information would not exist for vessels that are not subject to State reporting requirements.

5. If the collection of information will have a significant impact on small entities such as small businesses, organizations, or government bodies, describe the methods used to minimize burden on these entities. The processing vessels (catcher/processors and motherships) are not considered small businesses. The class of vessel that will be affected by this action, on average, grossed about \$33 million in revenue in 1991. In 2000, these processing vessels still are not considered small businesses. The catcher vessels delivering to motherships most likely are small businesses. However, if they were not delivering at sea, they would be delivering shoreside, and thus would be subject to similar State reporting requirements. For these vessels, the burden is intended to be the same whether delivering at sea or on shore.

NMFS will provide logbook and report forms to the vessel operators. To lessen the cost to the industry of meeting the recordkeeping and reporting requirements, logbooks have been designed so that each sector of the industry receives a logbook form tailored to meet its specific needs.

The logbook and reporting programs developed for the Pacific whiting industry have been designed to complement reporting requirements and would consolidate, to the extent practicable, other recordkeeping requirements to lessen the paperwork burden on operators of catcher vessels and at-sea processors. The NMFS has consulted with vessel operators to answer questions on maintenance of the NMFS logs and submission of associated reports. We will continue to seek industry guidance.

6. Describe the consequences to Federal program or policy activities if the collection would not be conducted or would be conducted less frequently. The WPRs are required on a weekly basis from all processors for inseason monitoring of groundfish harvests and to help verify catch and production amounts recorded in a processor's DFCPL or DRCPL. Reaching available harvest levels (quotas) can occur quite rapidly, especially when the quota is small. Near the end of the season, or for the duration of a short season, daily reports may be needed to fine-tune catch projections. Absent these reports, quotas may be exceeded. Conversely, if data are not available, managers may be conservative and close fisheries prematurely. NMFS' program for inseason management of the Pacific whiting fisheries would be severely jeopardized if this information were not received on at least a weekly basis.

The DFCPLs and DRCPLs will be submitted by processors within 14 days after the vessel leaves the fishery, the fishery is closed or the harvest guideline is reached (or quarterly if the vessel remains in the area). Submission of DFCPLs and DRCPLs by catcher/processors and motherships may be combined with submission of transfer logs, and so 3 submissions per at-sea processing vessel per year are estimated. Catcher vessels will submit their effort information (DFCPL) quarterly. This will allow timely input of logbook information into a central database that may be accessed by fishery managers to gauge fishery performance against existing or proposed management measures. This also will allow NMFS to monitor inseason compliance with logbook requirements and to interface with individual vessel operators if logbook entries are being recorded inappropriately. (Catcher vessels may submit their logs less frequently than the processors because their harvest is recorded in the processors' logs and accounted for in the WPRs, and fishing effort data is not needed more frequently than quarterly. Also, catcher vessels are most likely to be local vessels that do not leave the WOC area; and quarterly submission is the same as for processing vessels that do not leave the area.) A less frequent submission of logbooks would provide for less efficient entry of logbook information into a central database and undermine the availability of timely fishery information upon which NMFS and the Council base their management decisions. The absence of adequate biological, effort, and economic information from the domestic industry increases the risk of error associated with any given management decision, and can result in ineffective decision-making.

Transfer log information assists enforcement officers in verifying reported catch, and will be compared with onboard transfer/offloading logs, DFCPLs, DRCPLs, and product inventory to verify the amount of retained product reported in the WPRs. The fishery may be conducted in as little as 3-15 weeks (an estimate of 72 days was used throughout this statement). If transfer logs were not submitted during the fishing season, the ability to verify catch and production amounts while the vessel is on the grounds would be jeopardized. The number of submissions was reduced from 4 per year to 1-3 per year as part of the 1995 frequency reduction project; submission of transfer logs is combined with submission of the cumulative logs.

Start/Stop notifications must be submitted within 24 hours of the vessel entering or leaving the fishery. This is necessary to accurately estimate processing effort, to schedule enforcement boardings at the beginning and end of the vessel's operation in WOC, and to alert NMFS which vessels should be submitting WPRs.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines. OMB Guidelines state that an explanation needs to be given if a respondent is required to report information more often than quarterly. For the reasons given above in this statement, catch or receipt reports (WPRs or cumulative logs) are submitted weekly, or daily if the season is short or near its end, to achieve timely inseason monitoring and management of the fishery. Catch levels of whiting, bycatch, and prohibited species must be monitored on a real-time basis. The industry often voluntarily submits daily reports because they understand that more accurate data mean that managers will be less conservative in estimating the closure and thus provide a longer season. (If data are lacking, managers are more conservative and a fishery may be closed prematurely.) As the normal whiting season is less than 72 days, quarterly submissions are not adequate to monitor the fishery, protect the resource, or assure that harvest guidelines and allocations are not exceeded.

8. Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions, the amount of burden to be imposed and ways to minimize the burden. The recommendation for an improved recordkeeping and reporting system was developed by the Council in close coordination with the fishing industry which will be affected by its requirements. The agency has consulted with several other organizations (Groundfish Management Team, Scientific and Statistical Committee, Enforcement Consultants, Washington Department of Fish and Wildlife, Oregon Department of Fish and Wildlife, NMFS Fisheries Science Centers, Alaska Region NMFS, American Factory Trawlers Association, vessel operators, and fleet managers) to gain their views on the reporting requirements. The final product is the best blend of ideas from the organizations that were queried. The current version of the reporting regulations was reviewed and commented on by the Council in October 1990. The industry has used the log/report forms and instructions since 1991 and has made suggestions that have been incorporated in the formats and instructions. In addition, a Federal Register Notice (65 FR 8121, February 17, 2000) solicited public comments on this renewal.

9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees. None.

10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy. Because the information collected is from commercial operations, the Privacy Act does not apply. The information collected is confidential under section 402(b) of the Magnuson Act (16 U.S.C. 1801 *et seq*) All data submitted are treated in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fisheries Statistics.

11. Collection of information of a sensitive nature. No questions of a sensitive nature are asked.

12. Estimates of annualized costs to respondents. Provide an estimate in hours of the burden of the collection of information. (If this submission is a revision to an approved collection, and describes only the revised requirements, this answer should just reflect the new burden for that requirement and how much this is increased/decreased from the previous burden (if any) for the requirement. Effort and production information are normally maintained by harvesting vessel operators and at-sea processing vessel owners for their own business purposes. The costs associated with the rule pertain to the additional burden assumed by the vessel operators to transfer this information to the required logbook or report and to submit this information to NMFS.

The following calculations of cost and burden hours (Tables 1-4) assume that the processing and catcher vessels operate 72 days of the year. Processing capacity is such that 23 vessels could process the entire Pacific whiting quota in 72 days or less. Consequently, the DFCPLs and DRCPLs are estimated to be kept for a maximum of 72 days a year and submitted no more than 3 times a year per vessel (with no activity in the first quarter due to the season start in the spring. However, the estimated 72-day season may be spread across the rest of the year in several openings, or a vessel may depart and re-enter the fishery, so three submissions is possible.) WPRs (or daily submissions of cumulative logs) are estimated to be prepared and submitted 21 times a year per processing vessel. Stop/start logs are estimated to be submitted no more than 4 times a year per vessel, and product transfer logs are estimated to be submitted no more than 3 times a year per vessel.

12.a. Provide the number of respondents expected annually, the frequency of their response, the total number of responses expected, the average response time per respondent, and the total annual response time (in hours) for the collection. See Tables 2 and 4.

12.b. Provide estimates of annualized cost to respondents for the hour burden for the collection, identifying and using appropriate wage rate categories (not including paying outside parties for collecting the information, which would be included in item 14). Assuming an average wage equivalent to a GS-7 employee of \$15.70 per hour (compared with \$14.42 per hour in the last statement) and given the burden hours set forth in Table 1, time spent complying with the proposed information collection may annually cost individual processing vessels from \$344-589 (compared with \$355-580 in the last statement), and catcher vessel operators about \$245 (compared with \$225 in the last statement).

13. Provide an estimate of the total annual cost burden to the respondents or recordkeepers resulting from the collection. Tables 1 and 4 list the additional recordkeeping and reporting burden to the industry. Individual vessels may incur a greater or lesser burden depending on actual annual participation in the Pacific whiting fishery. A vessel that both fishes and processes its catch would have the maximum recordkeeping burden, about 31 minutes/day (37.5 hours annually based on 72 days operation). The other vessels have a lesser burden, approximately 18 minutes/day (21.9 hours annually) for a mothership (a vessel that only processes), and approximately 13 minutes/day (15.6 hours annually) for a vessel that only fishes. This is the same per vessel as in the last statement.

About 26 minutes/day will be spent completing the DFCPL for a vessel that both fishes and processes its catch. Only half that time (13 minutes/day) will be spent completing the DFCPL or DRCPL for a vessel that either processes or fishes.

Federal regulations will require processing vessels to maintain Product Transfer/Offloading Logbooks. These regulations will also require processing vessels to submit to NMFS a WPR detailing retained and discarded catch amounts. Because the information required in the Transfer logs and on WPRs would be summarized in the DFCPL or DRCPL, little additional time would be required to fill them out. Three transfer logs, requiring 20 minutes to complete, would take 1 hour per year per vessel. The 4 stop/start messages expected per vessel are expected to take only 5 minutes per vessel per year.

The total annual burden incurred by the WOC groundfish industry to comply with the proposed information collection program would be approximately 1,724 hours (compared with 1,796 hours in the last statement.)

13.a. Total capital and start-up costs: None.

13.b. Total operations, maintenance, and purchases of services: The cost of submitting WPRs to NMFS via satellite, now the most common mode of transmitting weekly reports, would be about \$15.00 per transmission. At 21 submissions per year (Table 2), the annual cost to individual processing vessels would be about \$315 (compared to \$210 in the last statement). Transfer logs and stop/start notifications also are expected to be submitted via satellite communication. With costs being about \$11 per transmission and providing that transfer logs are submitted no more than 3 times per year, and stop/start notifications are submitted no more than 4 times per year, annual cost is estimated at \$77. Submission of DFCPLs or DRCPLs, by mail, three times a year would cost about \$15 per vessel. Consequently, the estimated annual cost per vessel of submitting logs and reports would be as much as \$407 for processors (compared with \$295 in the last statement) and \$15 for catcher vessels (Table 3).

14. Estimates of annualized cost to NMFS:

Enforcement and administrative costs. Certain costs would be incurred by management agencies in administering and enforcing the proposed recordkeeping and reporting requirements. Current enforcement costs include salaries of enforcement personnel and costs associated with utilizing support platforms, e.g., U.S. Coast Guard vessels. No additional enforcement personnel or U.S. Coast Guard vessels are needed because (1) enforcement personnel are already hired to support the conservation and management role of NMFS, and (2) U.S. Coast Guard vessels are already in place to carry out search-and-rescue and fisheries enforcement missions off of WOC. The decline in foreign fishing operations off WOC released sufficient enforcement resources to monitor compliance of reporting and recordkeeping requirements in domestic fisheries. NMFS estimates that the amount of time to inspect a catcher/processor or mothership vessel, including auditing DFCPLs, DRCPLs and Product Transfer/Offloading Logbooks, would average about 4 hours. If each processor were inspected quarterly, which is the frequency foreign motherships were boarded and inspected, then about 400 hours would be required to inspect 23 at-sea Pacific whiting processors.

The reporting requirements add little additional burden to NMFS personnel since staff were already dedicated to processing foreign and joint venture vessel data, and have continued to process the data voluntarily submitted by the at-sea processing fleet (WPRs, start/stop messages). Additional time would be required to process transfer logs, but current personnel levels are considered sufficient to process this information. A GS-7 could spend about three-quarters of the time for six months to process WPRs and product transfer information, at an estimated cost of about \$12,284 per year ($.75 \times 0.5 \times \$32,758$ annual salary).

The most significant additional cost to the Federal government would be the timely input of data recorded in the DFCPL and DRCPL logbooks into a fisheries information database, the analyses of the data, and maintenance of the database, which would require hiring one additional full-time employees (GS-9) at an annual cost of about \$40,072.

15. Explain reasons for changes in burden.

This statement supports a net decrease of 91 burden hours as an adjustment reflecting corrections and re-estimations of the number of responses and response times.

Changes in costs to the industry were revised to reflect increased transmission costs of submitting or transmitting daily reports and to reflect increased hourly wages. The amount of time spent recordkeeping per vessel has not changed, but the number of vessels and transmission costs have.

Federal costs were changed to reflect increased salaries. In addition, the number of additional employees needed to input data records into a fishery information database was reconsidered. It was determined that under current conditions one full-time employee at the GS-9 level (as opposed to two full-time employees at the GS-5 and 9 levels) would be adequate. This change resulted in a cost reduction to the federal government of \$24,928.

16. Collections of information planned to be published for statistical use. Results for this collection are not planned for publication.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain why the display is inappropriate. Not applicable.

18. Explain each exception to the certification statement. None.

TABLE 1. RECORDKEEPING BURDEN HOURS AND COSTS							
Record Type	# Records per Yr per Vessel	Minutes per Record	Hrs per vessel per Yr	# Vessels	Tot hrs for Fleet	Recordkeeping Annual Cost (\$)*	
						Vessel	Fleet
DFCPL(catchers)	72	13 min/d	15.6 hrs	60	936	245	14,700
DFCPL (catcher-processors)	72	26 min/d	31.2 hrs	14	437	490	6,860
DRCPL (motherships)	72	13 min/d	15.6 hrs	12	187	245	2,936
Weekly/Daily Production Report (processors only)	21 (assuming a 72 day season)	4.3 min/d	5.2 hrs	23	120	82	1,886
PTOL	3 (assuming a 72 day season)	20 min	1.0 hrs	23	23	16	368
Start/Stop	4	1.25 min	.08 hrs	23	2	1	23
TOTAL	--	--	--	--	1,705	--	26,773

* Assuming average wage is equivalent to GS-7 step 5 or \$15.7/hr

TABLE 2. RECORD SUBMISSION COSTS							
Record Type	Submission Method	\$ Cost per Submission	Submissions per Year (Frequency)	# Vessels	Submission-Annual Cost (\$)		
					Vessel	Fleet	
DFCPL (catchers + catcher-proc)	mail	5.00	3	74	15	1,110	
DRCPL	mail	5.00	3	12	15	180	
Weekly/Daily Report	satellite ("satcom")	15.00	21	23	315	7,245	
PTOL	"	11.00	3	23	33	759	
Start/Stop	"	11.00	4	23	44	1,012	
TOTAL					422	10,306	

TABLE 3. TOTAL RECORDKEEPING AND SUBMISSION COSTS BY VESSEL TYPE

Vessel type	Annual Recordkeeping Costs per Vessel (\$)	Annual Submission Costs per Vessel (\$)	Total Annual Costs per Vessel	# Vessels	Annual Costs to Fleet (\$)		
Catcher only	245	15	260	60	15,600		
Catcher-processor	589	407	996	14	13,944		
Mothership	344	407	751	12	9,012		
Total				86	38,556		

TABLE 4. ANNUAL RECORDKEEPING BURDEN BY VESSEL TYPE

Vessel type	Annual Hrs per Vessel	# Vessels (Respondents)	Annual Burden Hrs per Fleet	Approx min per ves day	Annual # Records		
					Vessel	Fleet	
Catcher only	15.6	60	936	13	72	4,320	
Catcher-processor	37.5	14	525	31	100	1,400	
Mothership	21.9	12	263	18	100	1,200	
TOTAL	--	86	1,724	--	272	6,920	
last statement	same	86	1,796	same		6,790	
change	0	0	-72	0	0	130	

PROPOSED RULE AT 50 CFR PART 663
(now 50 CFR part 660, subpart G)

Billing Code: 3510-22

DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

50 CFR Part 663

[Docket No. 920546-2146]

RIN No. 0648-AE01

Pacific Coast Groundfish Fishery

AGENCY: National Marine Fisheries Service (NMFS), NOAA, Commerce.

ACTION: Proposed rule and request for comments.

SUMMARY: The Secretary of Commerce (Secretary) proposes regulations to implement a comprehensive data collection and regulatory program for all processing vessels greater than 125 feet in length and for all harvesting vessels that deliver their catch to these vessels in the Pacific Coast groundfish fishery off the coasts of Washington, Oregon, and California. The program would consist of: (1) a requirement that processing vessels carry observers; (2) a requirement that processing vessels and vessels that deliver to processing vessels obtain Federal permits;

(3) recordkeeping and reporting requirements; and (4) a revised definition of "fishing trip" for processing vessels over 125 feet that process their own catch, and for harvesting vessels delivering fish to such processing vessels, for the purpose of applying trip landing and frequency limits that are necessary to restrict the landings of several groundfish species. This action is necessary to maintain accurate statistics on this fishery, which is rapidly changing. This action is authorized by Amendment 4 to the Pacific Coast Groundfish Fishery Management Plan (FMP). It is intended to further the goals and objectives of the FMP.

DATES: Comments are invited until [Insert date 30 days after date of filing with the Office of the Federal Register].

ADDRESSES: Comments may be sent to Rolland A. Schmitten, Director, Northwest Region, National Marine Fisheries Service, 7600 Sand Point Way N.E., BIN C15700, Seattle, WA 98115-0070; or E.C. Fullerton, Director, Southwest Region, National Marine Fisheries Service, 300 S. Ferry Street, Terminal Island, CA 90731-7415. Draft logbook and report forms also are available for public review and comment at these addresses.

FOR FURTHER INFORMATION CONTACT: William L. Robinson at 206-526-6140; Rodney R. McInnis at 213-514-6199; or the Pacific Fishery Management Council at 503-326-6352.

SUPPLEMENTARY INFORMATION: The domestic and foreign groundfish fisheries in the Exclusive Economic Zone (EEZ) in the Pacific Ocean off the coasts of Washington, Oregon, and California are managed by the Secretary according to the FMP prepared by the Pacific Fishery Management Council (Council) under the authority of the Magnuson Fishery Conservation and Management Act (Magnuson Act). The FMP is implemented by regulations for U.S. fishermen at 50 CFR part 663. General regulations that also pertain to U.S. fishermen are at 50 CFR part 620. The FMP has been amended four times. The most recent amendment (Amendment 4) authorizes Federal data collection requirements, including Federal permits and observers, in the event

that State data collection programs fail to provide the Secretary with statistical information for adequate management.

Data currently are collected by the States of Washington, Oregon, and California in cooperation with NMFS and the Council. Until recently, State regulations have been satisfactory because the vast majority of the Pacific Coast groundfish catch has been landed ashore at processing plants regulated by the States. However, a new class of fishing vessels built for fishing in Alaskan waters has begun fishing for Pacific whiting off Washington, Oregon, and California (WOC). These vessels are large, and either process their own catch and/or process the catch of fishing vessels that deliver to them. They may land, transfer, or offload their catch either at sea or at ports outside of the Pacific fishery management area. For this reason, the Council has determined that the States are not capable of regulating and collecting necessary fishery management data from this class of vessels. Thus, the Council has recommended that the Secretary implement a comprehensive Federal data collection and regulatory program, as authorized by Amendment 4, to collect information necessary for fishery management from this class of vessels, and to regulate their activities.

The comprehensive data collection program consists of a mandatory observer program, a requirement for Federal permits, and reporting and recordkeeping requirements. The proposed rule also includes a definition of a "fishing trip" for the purpose of applying and enforcing trip limits. The program requires processing vessels greater than 125 feet in length ("processing vessels" or "processors") and fishing vessels that deliver fish to these processing vessels to obtain a Federal permit before being allowed to deliver or process fish in the fishery management area. These permits would be issued free of charge. The Secretary has implemented similar requirements in the domestic groundfish fisheries of the Gulf of Alaska and the Bering Sea and Aleutian Islands (55 FR 4839, February 12, 1990, and 54 FR 50386, December 6, 1989). The Secretary now proposes to impose similar measures in the Pacific groundfish fishery.

1. Mandatory Observer Program.

In June of 1990, a survey of domestic annual processing (DAP) needs for Pacific whiting off Washington, Oregon, and California was conducted by the Northwest Region, NMFS. The survey indicated that, for the first time, the entire annual quota could be taken by U.S. processors. This was attributed to the recent interest by processors from Alaska in utilizing Pacific whiting between Alaska pollock seasons (note: each Alaska pollock season has a separate quota; when a season is closed they normally go to Washington, Oregon, and California). In 1990, joint ventures between foreign processing vessels and U.S. harvesters, which are subject to 100 percent observer coverage by law, took 87 percent of the Pacific whiting quota. Displacement of the joint venture fishery by U.S. processors, which are not currently subject to an observer requirement, will result in a loss of observer data from which vital scientific, enforcement, and fisheries management data have been derived.

Domestic processors are large vessels, generally longer than 125 feet. Most harvest as well as process fish. Some only process fish delivered to them by other vessels. They are capable of harvesting and/or processing large quantities of fish in a relatively short time. Individually, they can process from 220 to 600 metric tons (mt) per day. As a group, the approximately 25 processors that expressed an interest in the Pacific whiting fishery could take the entire Pacific whiting quota in less than 2 months. They may stay at sea for weeks,

even months at a time, and may land, transfer, or offload finished product at sea or in Alaska or other areas outside the Pacific groundfish management area.

Few of the large U.S. processors have experience in the Pacific whiting fishery. It is not known whether they can fish for whiting effectively with nets designed for Alaskan waters, while avoiding high bycatches of salmon and other prohibited species. It is also not known to what extent they will harvest and retain or discard other groundfish species that are subject to trip landing and trip frequency limits imposed to prevent premature achievement of quotas or harvest guidelines. Observers are necessary onboard each processing vessel to collect information critical to determine the management requirements for this new domestic fishery. This information includes species composition data, biological data previously collected by observers onboard joint venture processing vessels, and catches of both prohibited species and species subject to trip limits.

NMFS has prepared an Observer Plan for the Pacific Coast Groundfish Fishery (Pacific Observer Plan) patterned after the Alaska Observer Plan. The main difference between the Alaska and Pacific plans is that the Pacific plan only requires observer coverage for processing vessels longer than 125 feet. Fishing vessels delivering to processing vessels will not be required to carry an observer because the processing vessels will carry observers. Otherwise, the provisions of the Alaska Observer Plan regarding the responsibilities of NMFS, program administration, observer training and certification, contractor certification, observer debriefing, responsibilities of vessel operators, and the responsibilities of certified observer contractors have been incorporated in the Pacific Observer Plan.

Observers will be a uniformly trained group of scientific technicians whose function is data gathering. They will be stationed on processing vessels to gather data according to a statistically sound sampling plan to provide data that otherwise may not be accurately reported by processors or are too burdensome for processors to collect during their normal operations. The observer program is intended to augment the industry recordkeeping and reporting system. Observers will perform multiple duties including estimating haul weight, sampling for species composition, estimating product recovery rates, estimating discards and catch of prohibited species, collecting biological data and specimens, collecting data on the operation and characteristics of the vessel and fishing effort, and monitoring compliance with applicable regulations.

Copies of the Pacific Observer Plan may be obtained from the Regional Directors at the above addresses. The plan describes the responsibilities of NMFS, vessel operators, and NMFS-certified contractors who will act as agents of NMFS in providing observers to processing vessels. Under the provisions of this proposed regulation, processing vessels may not begin operations in the fishery management area without an observer onboard unless an exemption has been granted in writing by NMFS.

2. Federal Permits.

Basic to any data collection program is the necessity for managers to know from whom they need to collect data. Also an important element in enforcing Federal regulations is the Government's ability to impose restrictions or sanctions on permits, including revocation if necessary, in the event of non-compliance with the regulations. Thus, the Council has recommended and NOAA proposes that all vessels subject to the comprehensive Federal data collection program be required to apply for and receive a free Federal permit as a condition of

processing or delivering to a processing vessel in the Exclusive Economic Zone off the coasts of Washington, Oregon, and California (fishery management area). All processing vessels greater than 125 feet in length and all fishing vessels that deliver to them will be required to obtain permits. By this means NOAA will be able to identify those vessels that require observers, will be able to deliver and collect the appropriate logbook and reporting instructions, and will know from whom to expect Start and Stop Reports and weekly production reports. In the absence of a Federal permit requirement, NOAA would be unable to identify those vessels that intended to process or deliver fish to a processing vessel in the area, which would make enforcement of the data collection program almost impossible.

Upon receipt of a properly completed application, the Northwest Regional Director, NMFS, will issue a Federal permit free of charge accompanied by the appropriate logbooks, forms, and instructions. A Federal permit will be valid only through December 31 of the year for which it was issued.

3. Recordkeeping and Reporting Requirements.

NOAA proposes the following new recordkeeping and reporting requirements for certain vessels: (1) processing vessels that process their own catch must maintain Daily Fishing and Cumulative Production Logs (DFCPLs), and Transfer/Offloading Logs (Transfer Logs); (2) processing vessels that receive fish from fishing vessels must maintain Daily Reports of Fish Received and Cumulative Production Logs (DRCPLs), and Transfer Logs; (3) fishing vessels that deliver unprocessed groundfish products to a processing vessel must maintain the daily effort and catch portion of DFCPLs; (4) processing vessels must notify the NMFS Northwest Region at least 24 hours prior to beginning operations in the fishery management area and at least 24 hours prior to leaving the fishery management area; and (5) processing vessels must submit Weekly Production Reports (WPRs) that include timely data on species and product form, by gear and area. These requirements are necessary to provide adequate information on which to base both inseason and preseason management decisions affecting the Pacific whiting resource and the fisheries that utilize it.

To lessen the cost to the industry of meeting the recordkeeping and reporting requirements, NMFS will provide logbooks at no cost to the vessel operators. Logbooks will be printed on two-part carbonless paper so that vessel operators can simply tear out copies of log entries and submit them to NOAA as required, while retaining the originals until the end of the fishing year or until the recorded fish or fish products are no longer aboard, whichever occurs later.

Daily Fishing and Cumulative Production Log (DFCPL); Daily Report of Fish Received and Cumulative Production Log (DRCPL).

Processing vessels that process their own catch would be required to maintain a DFCPL. Processing vessels that only receive fish from harvesting vessels would be required to maintain a DRCPL. The DFCPL and DRCPL are identical except that the DFCPL combines the production log with a fishing effort and catch log and the DRCPL combines the production log with a record of fish received from other vessels. Harvesting vessels delivering to processing vessels would be required to maintain the fishing effort and catch section of the DFCPL. The DFCPL and DRCPL logs record daily catch or catch receipt information, along with daily and cumulative production information.

The daily fishing effort and catch portion of the DFCPL includes: (1) vessel and gear specifications; (2) haul-by-haul information; (3) daily information on discards and retained catch; and (4) information

on daily vessel activity. The haul-by-haul information includes the date, time, location, sea depth, trawl depth, haul weight, and duration of haul. (Comparable information would be recorded for each set if longline or pot gear is used.) The crew size information is broken out by fishing and processing crews where appropriate. The discard information is broken down by species, species group, and prohibited species. The estimated daily discards of prohibited species (e.g., halibut, Dungeness crab, and salmon) are recorded in numbers. All other species discard estimates are recorded by weight. The effort information is used to support enforcement operations and for biological and economic evaluation of existing and proposed fishery management measures. The daily fishing effort and catch portion is used to verify information reported in the WPRs.

Duplicate copies of the DFCPLs and DRCPLs would be submitted to NMFS periodically, whereas original copies of the logs would remain on the vessels at least until the end of the fishing year (longer if product remains on board past the end of the fishing year). These logs would be made available to NMFS observers and to enforcement officers. Observers would use the information in the logs to assist in their data collection responsibilities. The discard information maintained in the logs would assist those responsible for completing the WPRs, which include estimates of discards.

Information relating to estimated haul weight or catch receipt weight would be recorded in the DFCPL or DRCPL within 2 hours after the fish are brought on board. Timely recording of this information is necessary so that enforcement officers may account for unrecorded product inventory. Other entries in the DFCPL and DRCPL would be updated within 12 hours of the end of the day on which the haul, receipt, or production occurred.

Each page of the DFCPL and DRCPL would include entries from only one statistical area and gear type to facilitate preparation of the WPR by processors. The WPR reflects the cumulative weekly production, as recorded in the DFCPL or DRCPL, and is used for inseason monitoring of quotas and harvest guidelines. Because quotas and harvest guidelines often are specified by statistical area and gear type, cumulative weekly production amounts must be recorded and reported by these same qualifiers.

The DFCPL and DRCPL also record daily discards by the processor. This information, along with discard information provided to processors by catcher vessel operators, would be reported on the WPR and used to obtain information relating to total fishing mortality resulting from fishing operations. A comprehensive observer program will provide Pacific whiting, other groundfish, and prohibited species discard information from a significant portion of the industry. Observers need access to discard information recorded in the logs to help assess their estimates of discard amounts, particularly of prohibited species. Furthermore, all catcher vessels and processors must record discard information to provide at least a minimum estimate of discard mortality in the event of inadequate observer coverage. In addition to total mortality estimates, recorded discard estimates would be used to derive estimates of bias resulting from intentional or unintentional misreporting of data.

Specific information on the catcher vessel (vessel name, vessel permit number, and receipt time), together with the cumulative production information recorded in the DRCPL, would be used by enforcement officers to verify information reported on the WPRs. Product transfer information (recorded in the product transfer log) would be subtracted from the verified cumulative production information

(in the DFCPL or DRCPL) to obtain the amount of product that should be present on a processor vessel.

Product Transfer/Offloading Logs (Transfer Logs).

Processors would be required to maintain a product transfer log similar to that currently required of processors in the Alaska groundfish fishery. This log would record all shipments or transfers of product by species, product type, product weight (or units) and value, the name of the company or person transporting the product, the date of shipment, and the destination of the product.

Each processor required to maintain a transfer log would be required to submit to NMFS copies of their transfer log entries for each month in which transfers occurred. This information assists enforcement officers in verifying reported catch, and will be compared with the original transfer logs, DFCPLs, DRCPLs, and product inventory during vessel boardings to verify the amount of retained product reported in the WPRs.

Product weight, product types, and values for each species harvested in the WOC are currently recorded on State fish tickets for vessels landing in ports in WOC. The same information is needed from processing vessels. The transfer/offloading information from processing vessels will be incorporated with fish tickets into a coastwide data base to be used for socioeconomic analyses such as determining the impacts of current regulations and future proposed regulations on processing vessels in accordance with Executive Order 12291, the Regulatory Flexibility Act, and other applicable law.

Weekly Production Report (WPR).

A weekly production report would be required of processing vessels as in the Alaska Region. It would summarize by area and gear: (1) total weight of catch or receipt of groundfish species and number of prohibited species; (2) weekly production by groundfish species and product form; and (3) product recovery rates by groundfish species and product form.

Processing vessels would report to NMFS both product weight and round weight. Vessels will also be required to provide product recovery rates along with the conversion to round weight. This information will help NMFS develop uniform standard conversion factors for converting product weight to round weight.

The WPRs are to be submitted weekly, by fax or telex. NMFS needs this information weekly to track the harvest rate. The fleet is large, with a potential to harvest large amounts in a short period. Without the weekly reports there is a high risk of overharvesting the resource or exceeding a quota before NMFS knows this has happened.

Start and Stop Reports

The purpose of Start and Stop Reports is to allow NOAA to be aware of which processing vessels are operating in the WOC area each week so that NOAA knows which vessels are required to submit weekly reports and to help locate individual vessels in enforcement and emergency situations. The Start and Stop Reports are to be sent by fax or telex to the Northwest Regional Office of NMFS.

4. Definition of "Fishing Trip" for the Application of Trip Limits.

The harvest of many species of Pacific groundfish is regulated by controlling the amount of fish that may be landed from a single fishing trip. The purpose of trip limits is to stretch the available quantity of fish for harvest as long as possible throughout the year to provide fresh fish for markets and processors and to prevent the waste and

discards that would occur if fish caught after a catch quota was reached were discarded. Although the processing and fishing vessels covered by these requirements are expected to fish mainly for Pacific whiting, for which there is no trip limit, they may legally take other groundfish species that are subject to trip limits. Current regulations, however, define a fishing trip as the period of time between offloadings of catch. When a "fishing trip" was originally defined, almost all vessels harvesting groundfish returned to port and offloaded at a local processing plant, with a typical fishing trip lasting several days. Vessels that deliver to processors at sea and vessels that process their own catch, however, could either obtain an unfair advantage or be unfairly penalized compared to boats that return to port to offload if the current definition of a "fishing trip" is not modified to address their different mode of operation.

For example, a fishing vessel that delivers to a processor at sea may make several deliveries a day. Under the current definition, each delivery could be considered a "fishing trip" and, if no trip frequency limit were in effect, the vessel could make an unlimited number of "trips" each day. This would defeat the purpose of imposing trip landing limits. On the other hand, processing vessels may not offload ("land") to another vessel or ashore for many weeks or even months. These vessels would be limited, during the period between offloadings, to a single trip limit while vessels that landed ashore could offload and return to the grounds frequently.

NOAA proposes to resolve these problems by tailoring a definition of "fishing trip" specifically for vessels delivering fish to a processor at sea, and for processing vessels that process their own catch. In regard to such vessels, NOAA proposes that the length of a "fishing trip," for the purpose of applying trip limits, be deemed to begin at 0001 hours each Wednesday and end at 2400 hours the following Tuesday.

5. Definition of "Product Weight".

Commenters are particularly invited to comment on the definition of "product weight", which has been copied from Federal regulations governing groundfish fisheries off Alaska.

Classification

This proposed rule is published under authority of the Magnuson Act, 16 U.S.C. 1801 et seq., and was prepared at the request of the Pacific Fishery Management Council. The Assistant Administrator for Fisheries, NOAA (Assistant Administrator), has determined that this proposed rule is necessary for the conservation and management of the Pacific coast groundfish fishery and that it is consistent with the Magnuson Act and other applicable law.

The NMFS prepared an Environmental Assessment/Regulatory Impact Review/Regulatory Flexibility Analysis (EA/RIR/RFA) for this rule, and the Assistant Administrator concluded that there will be no significant impact on the environment as a result of this rule. You may obtain a copy of the EA/RIR/RFA from the Addresses above.

The Assistant Administrator has determined that this is not a major rule requiring a regulatory impact analysis under Executive Order 12291. The proposed action will not have a cumulative effect on the economy of \$100 million or more nor will it result in a major increase in costs to consumers, industries, government agencies, or geographical regions. No significant adverse impacts are anticipated on competition, employment, investments, productivity, innovation, or competitiveness of U.S.-based enterprises.

The General Counsel of the Department of Commerce certified to the Small Business Administration that this proposed rule, if adopted, will not have a significant economic impact on a substantial number of small entities under the Regulatory Flexibility Act, 5 U.S.C. 603 et seq. This conclusion is based on the EA/RIR/RFA prepared for this rule which indicates that a NMFS survey of the class of vessels required to carry observers and comply with new recordkeeping/reporting and permit requirements indicated that gross revenues averaged \$8.2 million per vessel in 1989. Therefore, they are not small businesses. This additional recordkeeping/reporting and permit burden, although similar to that in the Alaska fisheries, is a new requirement in the Pacific Coast groundfish fishery that will result in increased costs of as much as \$500 per year for processors. Catcher vessels that deliver to processing vessels, because they are only required to maintain a daily fishing log, will incur a cost of less than \$200 per vessel per year, which is not a significant impact. The comprehensive data collection plan also would require that observers be aboard processing vessels over 125 feet at a cost of about \$6,000 per month per vessel.

The Assistant Administrator determined that this proposed rule contains a collection-of-information requirement subject to the Paperwork Reduction Act. This collection-of-information requirement has been submitted to the Office of Management and Budget for approval. Most of the information collected under the proposed recordkeeping and reporting requirements is catch, effort, and production information normally maintained by the groundfish vessel operators for their own internal business purposes. Public recordkeeping and reporting burden for this collection of information is limited to the amount of time necessary for vessel operators to transfer this information to the required logbook or report and to submit this information to NMFS. The additional burden for the three modes of operation is estimated to average (1) 13 minutes per day for vessels that deliver to processors, (2) 20 minutes per day for vessels that process only, and (3) 33 minutes per day for vessels that catch and process at sea. The proposed rule also requires vessel owners to apply to NMFS for a Federal permit to operate in the fishery. The estimated burden associated with this regulation averages about 10 minutes per permit application per year for new applicants and less than 10 minutes per year for renewals. Send comments regarding these burden estimates or any other aspect of this collection of information, including suggestions for reducing this burden to NMFS at the address above, and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503 (Attn. NOAA Desk Officer).

The NMFS Northwest Regional Director has determined that this rule does not directly affect the coastal zone of any State with an approved coastal management program.

This proposed rule does not contain policies with federalism implications sufficient to warrant preparation of a Federalism Assessment under Executive Order 12612.

List of Subjects in 50 CFR Part 663

Administrative practice and procedure, Fisheries, Fishing, Reporting and recordkeeping requirements.

Authority: 16 U.S.C. 1801 et seq.

Dated:

For the reasons set out in the preamble, 50 CFR Part 663 is proposed to be amended as follows:

Part 663 - PACIFIC COAST GROUND FISH FISHERY

1. The authority citation for Part 663 continues to read as follows:

Authority: 16 U.S.C. 1801 et seq.

2. Section 663.2 is amended by revising the definition of "fishing trip" and by adding, in alphabetical order, definitions for "processing", "processing vessel", "product weight", and "trip frequency limit", as follows:

§663.2 DEFINITIONS. * * *

* * * * *

Fishing trip means a period of time between landings when fishing is conducted. For vessels delivering fish to a processing vessel and for processing vessels that process their own catch, a "fishing trip" for the purpose of applying trip limits is deemed to begin at 0001 hours Wednesday and end at 2400 hours the following Tuesday.

* * * * *

Processing or to process means the preparation or packaging of groundfish to render it suitable for human consumption, industrial uses, or long-term storage, including but not limited to cooking, canning, smoking, salting, drying, filleting, freezing, or rendering into meal or oil, but does not mean heading and gutting unless additional preparation is done.

Processing vessel means any vessel of the United States over 125 feet in length (as stated on the vessel's U.S. Coast Guard Certificate of Documentation) that is used for, or equipped to be used for, processing groundfish in the fishery management area.

Product Weight means the weight of the fish product in pounds or to at least the nearest tenth of a metric ton (0.1 mt). Fish product weight is based upon the number of production units and the weight of those units. Production units include pans, cartons, blocks, trays, cans, bags, and individually frozen fish. The weight of a production unit is based on the average weight of the product as determined by analyzing representative samples. The weight of the production unit does not include packaging. The weight of the production unit does include water added to the product and other additives reported to NMFS. NMFS may use the weight of the production units, with an allowance for water not to exceed 5 percent of the weight of the production unit, to determine net weight, and to calculate round-weight equivalents.

* * * * *

Trip frequency limit means the period of time during which a single trip limit may be landed.

* * * * *

3. Section 663.3 is amended by adding paragraph (b)(4) as follows:

§663.3 Relation to other laws.

* * * * *

(b) * * *

(4) Marine mammals. Exemption permits and the recordkeeping and reporting of the incidental take of marine mammals in commercial fishing operations are governed by Federal regulations at 50 CFR 216.24 and 50 CFR part 229.

* * * * *

4. Section 663.4 is revised to read as follows:

§663.4 Recordkeeping and reporting.

(a) State requirements. This part recognizes that catch and effort data necessary for implementing the Pacific Coast Groundfish Plan are collected by the States of Washington, Oregon, and California under existing State data collection requirements. Any person who is required to do so by the applicable State law must make and/or file any and all reports of groundfish landings containing all data, and in the exact manner, required by the applicable State law.

(b) DAP survey. Telephone surveys of the domestic industry (see sections II.G., II.H., and II.I. of the Appendix to this part) will be conducted by NMFS to determine amounts of fish that will be made available to foreign fishing and joint venture processing (OMB Approval No. 0648-0243).

(c) Processing requirements. This paragraph (c) applies to the owners and operators of processing vessels, and to the owners and operators of any vessel that delivers groundfish to a processing vessel. All references to "processing vessels" and "vessels" in this section include the owners and operators of those vessels. Depending on whether a processing vessel is processing its own catch, or is processing groundfish delivered by another vessel, different recordkeeping and reporting requirements apply. Some recordkeeping and reporting requirements also apply to vessels that deliver groundfish to processing vessels. Where logbooks are required, they will be supplied by NMFS, Northwest Region, 7600 Sand Point Way N.E., BIN C15700, Seattle, WA 98115-0070; phone 206-526-6140; fax 206-526-6426. Any processing vessel that processes its own catch, and any vessel that delivers groundfish to a processing vessel, must maintain the Daily Fishing and Cumulative Production Logbook (DFCPL). Any processing vessel that processes groundfish delivered by another vessel must maintain the Daily Report of Fish Received and Cumulative Production Logbook (DRCPL). Any processing vessel that both catches and processes the fish it catches, as well as processes fish delivered to it, must complete both the DFCPL and the DRCPL. All processing vessels are required to submit Product Transfer/Offloading Logbooks (Transfer Logs), Weekly Production Reports (WPRs), and Stop and Start Reports.

(1) General requirements. (i) All records, reports, and logbooks required by this paragraph (c) must be completed in English, and must be legible, timely, accurate, and based on Pacific Local Time (PLT). For the purpose of logbook entries and reports, a week is defined as

the period from 0001 hours Wednesday through 2400 hours the following Tuesday.

(ii) Vessels must make available the original of any record, report, or logbook required under this paragraph immediately upon the request of an authorized officer or observer at any time during which the record, report, or logbook is required to be maintained.

(iii) Where submission of logbooks or reports is required, the logbooks or reports must be mailed, transmitted, or delivered to the Fisheries Management Division - F/NWR3, NMFS, 7600 Sand Point Way NE, Building 1, Seattle WA 98115-0070; fax 206-526-6426; telex 910-444-2786. Logbooks and reporting forms provided by the Northwest Regional Director must be used.

(iv) No person except an authorized officer may remove any original page of any logbook or report from the vessel until the end of the fishing year and for as long thereafter as fish or fish products recorded in the logbooks and/or reports are retained on board that vessel.

(v) Any entry or recording of information which is required to be made in a logbook or report must be made in indelible ink. No person except an authorized officer may alter or change any entry or record in a logbook or report except that an inaccurate or incorrect entry or record may be corrected by lining out the original and inserting and initialing the correction, provided that the original entry or record remains legible.

(2) Daily Fishing and Cumulative Production Logbook (DFCPL). A processing vessel that only processes its own catch and does not process groundfish delivered from other vessels, and any vessel that delivers groundfish to a processing vessel must maintain a DFCPL, which is a daily record of fishing effort and catch, and, for a processing vessel, a cumulative production record of groundfish harvested by that vessel. A processing vessel that processes its own catch must complete the entire log. A vessel that delivers its catch to a processing vessel is not required to complete the production section of the DFCPL. The following information must be recorded:

(i) The page number, numbered consecutively each day beginning with page one for the first day the vessel conducted any fishing activity subject to this section after the start of the fishing year and continuing throughout the logbook for the remainder of the fishing year. If such fishing activity is conducted with more than one gear type, in more than one reporting area, or for more than one target species during any day, a separate page must be used for each gear type, reporting area, or target species.

(ii) The date.

(iii) The vessel's name and number of the Federal permit issued pursuant to §663.11.

(iv) The management subarea (as defined in §663.5) where the vessel fished.

(v) The gear type used by the vessel (pelagic trawl, bottom trawl, roller trawl, hook and line, or pot gear).

(vi) Whether or not an observer is onboard the vessel and the name of the observer, if onboard.

(vii) The number of crew members.

(viii) For each haul or set, as appropriate to the gear type employed:

(A) The trawl or set number. This number must be consecutive beginning with number one for the first haul or set of the year in the fishery management area.

(B) The time the trawl or set reaches fishing depth.

(C) The position of the vessel, in geographic coordinates, when the trawl or set reaches fishing depth.

(D) The average sea depth during the trawl or set in fathoms.

(E) The average depth the gear is fished in fathoms.

(F) The total number of hooks or pots, if applicable.

(G) The time at the beginning of gear retrieval.

(H) The position of the vessel, in geographic coordinates, at the beginning of retrieval of the trawl or set.

(I) The estimated total weight of the entire trawl haul or set in round weight to the nearest one tenth of a metric ton (0.1 mt).

(J) The total time of the tow or set between the time the gear reaches the fishing depth and begins to fish and the beginning of retrieval.

(K) For those vessels that deliver their catch to a processing vessel, the name of the processing vessel.

(ix) The following information must be recorded for each species or species group, to the nearest hundredth of a metric ton (0.01 mt) round weight for groundfish and by number for prohibited species:

(A) The daily amount of each groundfish species or species group, and prohibited species that is discarded.

(B) The daily amount of each groundfish species or species group retained.

(C) The balance forward of prohibited species and of retained or discarded groundfish species or species groups during a week. At the beginning of a week, the balance forward for that week will be zero.

(D) The cumulative weekly total of prohibited species and of retained or discarded groundfish species or species groups.

(x) A processing vessel must also maintain in its DFCPL the following production information for groundfish retained by that vessel, in product weight to the nearest hundredth of a metric ton (0.01 mt):

(A) The total product weight by species or species group and product type for each day's catch. Production information must be entered for the date on which the fish were caught (i.e., when the haul or set is brought on board).

(B) The cumulative balance forward of species product amounts during a week. At the beginning of a week, the balance forward for that week will be zero.

(C) The product recovery rate by species or species group and product type.

(D) The cumulative weekly total product aboard by species and product type.

(xi) The signature of the operator of the vessel.

(xii) Data entry. Entries in the DFCPL as to trawl or set number, date, time, position, and estimated catch weight must be recorded within 2 hours after the fish are brought on board from each applicable trawl or set. All other information required in the DFCPL must be recorded by noon of the following day.

(xiii) Log submittal. A processing vessel that harvests groundfish must submit the duplicate copy of its DFCPL to the Fisheries Management Division, NMFS, within 14 calendar days after the vessel departs from the fishery management area, or quarterly (by March 31, June 30, September 30, or December 31) if the vessel does not depart from the fishery during that quarter. A vessel delivering groundfish to a processing vessel must mail or hand-deliver a duplicate copy of the DFCPL to the Fisheries Management Division, NMFS, at least quarterly (by March 31, June 30, September 30, or December 31).

(3) Daily Report of Fish Received and Cumulative Production Logbook (DRCPL). A processing vessel that processes only those groundfish received from another vessel must maintain a DRCPL, which is a daily record of groundfish received and a cumulative production record of that groundfish. The following information must be recorded:

(i) The page number, numbered consecutively beginning with page one for the first day the processing vessel received fish after the start of the fishing year and continuing throughout the logbook for the remainder of the fishing year. A separate page must be used for each day's entry and for each gear type, reporting area, or target species.

(ii) The date.

(iii) The processing vessel's name and number of the Federal permit issued pursuant to §663.11.

(iv) The management subarea (as defined in §663.5) where the vessel receives groundfish.

(v) The gear type used by the catcher vessel (pelagic trawl, bottom trawl, roller trawl, hook-and-line, or pot gear).

(vi) Whether or not an observer is onboard and the name of the observer if onboard.

(vii) The number of crew members.

(viii) The signature of the operator of the vessel must be included in each daily record of a DRCPL.

(ix) For each groundfish set or trawl codend received:

(A) The haul or set receipt number. This number must be consecutive beginning with number one for the first haul or set of the day in the fishery management area.

(B) The time when the set or codend is received.

(C) The position of the processing vessel in geographic coordinates when the set or codend is received.

(D) The name of the fishing vessel delivering the set or codend.

(E) The number of the Federal permit issued under §663.11 for the fishing vessel delivering the set or codend.

(F) The estimated total weight of the set or codend in round weight to the nearest tenth of a metric ton (0.1 mt).

(G) The target species if other than Pacific whiting. (This may be entered in the "Comments" section.)

(x) The following information must be recorded in the receipt portion of the DRCPL for each species or species group, to the nearest hundredth of a metric ton (0.01 mt) round weight for groundfish and by number for prohibited species:

(A) The daily amount of each groundfish species or species group, and prohibited species that is discarded.

(B) The daily amount of each groundfish species or species group retained.

(C) The balance forward of prohibited species, and of discarded or retained groundfish species or species groups during a week. At the beginning of a week, the balance forward for that week will be zero.

(D) The cumulative weekly total of prohibited species and of discarded or retained groundfish by species or species group.

(xi) A processing vessel must also maintain in its DRCPL the following production information for groundfish retained by that vessel, in product weight to the nearest hundredth of a metric ton (0.01 mt):

(A) The total product weight by species or species group and product type for each day's production. Production information must be entered for the date on which the fish were delivered (i.e., when the haul or set is brought on board).

(B) The cumulative balance forward of species product amounts during a week. At the beginning of a week, the balance forward for that week will be zero.

(C) The product recovery rate by species or species group and product type.

(D) The cumulative weekly total product aboard by species and product type.

(xii) Data entry. The date and time of receipt of a set or codend, the name of the delivering vessel, the position of the processing vessel and the estimated catch receipt weight, must be recorded in the DRCPL within 2 hours after the set, codend, or catch is received. All other information required in the DRCPL must be recorded by noon of the day following the day the catch receipt occurred.

(xiii) Log submittal. A duplicate copy of the DRCPL must be mailed or hand-delivered to the Fisheries Management Division, NMFS, within 14 calendar days after the vessel departs from the fishery management area, or quarterly (by March 31, June 30, September 30, or December 31) if the vessel does not depart from the fishery management area during the quarter.

(4) Product Transfer/Offloading Logbook (Transfer Log). For each transfer or offloading of groundfish, shoreward of the outer boundary of the fishery management area, the processing vessel that receives the groundfish must maintain a Transfer Log. The following information must be recorded:

(i) The page number, numbered consecutively beginning with page one for the first transfer occurring after the start of the fishing year and continuing throughout the logbook for the remainder of the fishing year.

(ii) The time (PLT), date, and position (in geographic coordinates or, if within a port, the name of the port) the transfer or offloading began and was completed.

(iii) The product weight and product type, to the nearest kilogram (one thousandth of a metric ton (0.001 mt)), number of units, if applicable, and product value either by kilo or unit, by species or species group, of all fish products transferred or offloaded.

(iv) The vessel name and documentation number of the vessel receiving the product or, if to a shoreside location, the name of the location and commercial facility receiving the product.

(v) The intended port of destination of the receiving vessel if transferred to another vessel.

(vi) Data entry. All required data must be recorded within 12 hours of the completion of the transfer or offloading.

(vii) Log submittal. A duplicate copy of the Transfer Log for each month in which any product transfer or offloading occurred must be faxed, mailed, or hand-delivered to the Fisheries Management Division, NMFS, within 14 calendar days after the end of the month in which a transfer or offloading occurred. **[note: The submittal requirements are expected to change when this regulation becomes final. After this proposed rule was published, NMFS recommended that the number of submissions could be reduced by requiring transfer logs to be submitted within 14 days after the vessel leaves the fishery or the fishery is closed rather than within 14 days after the end of the month in which a transfer or offloading occurred.]**

(5) Weekly Production Report (WPR). After submitting a Start Report under paragraph (c)(6) of this section, and continuing until that vessel submits a Stop Report under paragraph (c)(6) of this section, a processing vessel must submit a WPR for each fishing week.

If a processing vessel that catches groundfish also receives groundfish from other catcher vessels during the same week, data must be submitted separately for each operation. If a processing vessel fishes for or receives a target species other than Pacific whiting, a separate WPR must be submitted for that fishery, preceded by the name of the target species. Each WPR must contain the following information:

- (i) Vessel name, Federal permit number, and radio call sign.
- (ii) The ending date of the weekly reporting period.
- (iii) Submitter's name, telephone number, and fax or telex number.
- (iv) The number of days that the vessel fished and the number of days that the vessel received groundfish, the number of vessels that delivered groundfish, and total number of deliveries (codends or sets received) during the week, by area and gear type. A vessel that processes its own catch must enter: the number "0" under "number of delivery vessels", and the number of hauls or sets it made under "number of codends."
- (v) The total product weight for the week by species or species group, product type, area and gear type, to the nearest one hundredth of a metric ton (0.01 mt).
- (vi) The product recovery rate for each species or species group and product type.
- (vii) The total round weight of all fish caught or received during the week, by species or species group, area, and gear type, to the nearest one hundredth of a metric ton (0.01 mt).
- (viii) The number of each prohibited species, by area and gear type.
- (ix) Data entry. The DFCPL or DRCPL is the basis for the information submitted in the WPR.
- (x) Report submittal. The WPR must be received by the Fisheries Management Division, NMFS, within 48 hours of the end of each fishing week (i.e., prior to 2400 hours each Thursday). The WPR must be transmitted by fax or telex as described in paragraph (c)(1)(iii) of this section, unless otherwise specified by the Regional Director.

(6) Start and Stop Reports. If a processing vessel enters the fishery management area to fish for, receive, or process groundfish in the fishery management area, the vessel must transmit a Start Report. Before a processing vessel departs from the fishery management area after having fished for, received, or processed groundfish in the fishery management area, the vessel must transmit a Stop Report. Each Start and Stop Report must contain the following information:

- (i) The vessel's name, Federal permit number, and radio call sign.
- (ii) The date and hour (PLT) when fishing, receipt of fish, or processing will begin or the vessel will leave the fishery management area.
- (iii) The management subarea (as defined in §663.5) and the position in geographical coordinates where fishing, receipt of fish, or processing is to begin, or from which the vessel will leave the fishery management area.
- (iv) Report submittal. Each Start Report must be transmitted at least 24 hours before fishing, receiving, or processing begins. Each Stop Report must be transmitted at least 24 hours before the departure from the fishery management area. Processing vessels must transmit Stop and Start Reports by fax or telex as described in paragraph (c)(1)(iii) of this section, unless otherwise specified by the Regional Director.

5. Section 663.7 is amended by revising paragraph (f) and adding paragraphs (n), (o), and (p) to read as follows:

§663.7 Prohibitions.

* * * * *

(f) Take and retain, receive and retain, possess, or land more groundfish than specified under §663.23, §663.24, or under an EFP issued under §663.10.

* * * * *

(n) Fish for, receive, or process groundfish with a processing vessel that does not have aboard a valid permit required in §663.11, records, reports, or logbooks required in §663.4, or an observer as required in §663.30.

(o) Falsify or fail to make, keep, maintain, submit, or make available for inspection any record, logbook, or report as required by this part.

(p) With respect to observers:

(1) Forcibly assault, resist, oppose, impede, intimidate, or interfere with an observer;

(2) Interfere with or bias the sampling procedure employed by an observer, including sorting or discarding any catch before sampling; or tamper with, destroy, or discard an observer's collected samples, equipment, records, photographic film, papers, or personal effects without the express consent of the observer;

(3) Prohibit or bar by command, impediment, threat, coercion, or by refusal of reasonable assistance, an observer from collecting samples, conducting product recovery rate determinations, making observations, or otherwise performing the observer's duties; or

(4) Harass an observer by conduct that has sexual connotations, has the purpose or effect of interfering with the observer's work performance, or otherwise creates an intimidating, hostile, or offensive environment. In determining whether conduct constitutes harassment, the totality of the circumstances, including the nature of the conduct and the context in which it occurred, will be considered. The determination of the legality of a particular action will be made from the facts on a case-by-case basis.

6. Part 663 is amended by adding a new §663.11 as follows:

§663.11 Permits.

(a) General. In the fishery management area, a processing vessel may not fish for or process groundfish, nor may a vessel deliver groundfish to a processing vessel without possessing on board the vessel a vessel permit issued under this section. All references to "processing vessel" and "vessel" in this section include the owners and operators of those vessels. Permits will be issued without charge and renewed annually.

(b) Application. The vessel permit required under paragraph (a) of this section may be obtained by submitting to the Northwest Regional Director a written application containing the following information:

(1) The vessel owner's name, mailing address, and telephone and fax numbers.

(2) The name of the vessel.

(3) The vessel's U.S. Coast Guard documentation number.

(4) The home port of the vessel.

- (5) The length and net tonnage of the vessel.
- (6) The hull color of the vessel.
- (7) The names of all operators and/or lessees of the vessel.
- (8) The type of operations the vessel will conduct (i.e., processing only or processing and harvesting).
- (9) For harvesting vessels, the type of fishing gear to be used.
- (10) The signature of the applicant and date of signature.

(c) Issuance. (1) Except pursuant to the permit denial procedures set forth in subpart D of 15 CFR part 904, upon receipt of a properly completed application, the Regional Director will issue the permit required by paragraph (a) of this section.

(2) Upon receipt of an incomplete or improperly completed application, the Regional Director will notify the applicant of the deficiency in the application. If the applicant fails to correct the deficiency within 30 days following the date of notification, the application will be considered abandoned.

(d) Notification of Change. Any person who has applied for and received a permit under this section shall give written notice of any change in the information provided under paragraph (b) of this section to the Northwest Regional Director within 30 days of the date of that change. Failure to provide written notice of change will invalidate the permit.

(e) Duration. A permit is valid through December 31 of the year for which it was issued unless it is revoked, suspended, or modified under subpart D of 15 CFR part 904.

(f) Alteration. No person may alter, erase, or mutilate any permit issued under this section. Any permit that has been intentionally altered, erased, or mutilated will be invalid.

(g) Transfer. Permits issued under this section are not transferable or assignable. Each permit is valid only for the vessel for which it is issued.

(h) Inspection. Any permit issued under this section must be presented for inspection upon request of any authorized officer.

7. Part 663 is amended by adding a new §663.30 as follows:

§663.30 Observers.

(a) Purpose. The purpose of this section is to provide for the placement, accommodation, and security of scientific technicians known as "observers" aboard processing vessels. Observers, while stationed aboard processing vessels, will carry out such scientific, compliance monitoring, and other functions as are specified in the Pacific Observer Plan (NMFS, Northwest Region). A copy of the Pacific Observer Plan will be issued to each processing vessel.

(b) Scope. This section applies to the owners and operators of processing vessels. All references to "processing vessels" in this section include the owners and operators of those vessels.

(c) Coverage. Each processing vessel is required to carry an observer aboard when fishing for or processing groundfish within the fishery management area, unless an exemption has been granted in writing by the Regional Director.

(d) Responsibilities. A processing vessel must:

(1) Provide all costs of accommodations for the observer that are equivalent to those provided for crew members, and the expenses for such accommodation shall be undertaken or arranged for by the vessel;

(2) Maintain safe conditions on the vessel for the protection of the observer during the time the observer is on board the vessel by adhering to all U.S. Coast Guard and other applicable rules,

regulations, or statutes pertaining to safe operation of the vessel and by keeping on board the vessel:

- (i) Adequate fire fighting equipment;
 - (ii) A life raft capable of holding all persons on board; and
 - (iii) Other equipment required by U.S. Coast Guard regulations pertaining to safe operation of the vessel;
- (3) Allow the observer, upon request, to use the vessel's communication equipment and personnel for the transmission and receipt of messages;
- (4) Allow the observer, upon request, to use the vessel's navigation equipment and personnel to determine the vessel's position;
- (5) Allow the observer free and unobstructed access to the vessel's bridge, trawl, or working decks, holding bins, processing areas, freezer spaces, weight scales, cargo holds and any other space that may be used to hold, process, weigh, or store fish or fish products at any time;
- (6) Notify the observer at least 15 minutes before fish are brought on board, or fish or fish products are transferred from the vessel, to allow the observer to sample the catch or observe the transfer, unless the observer specifically requests not to be notified;
- (7) Allow the observer to inspect and copy the vessel's DFCPL, DRCPL, Transfer Log, and any other logbook, record, report, or document required by regulations;
- (8) Provide all other reasonable assistance to enable the observer to carry out his or her duties;
- (9) Move the vessel to such places and at such times as may be designated by the Regional Director (or his designee) for purposes of embarking and debarking the observer;
- (10) Ensure that transfers of observers at sea via small boat or raft are carried out during daylight hours, under safe conditions, and with the agreement of the observer involved;
- (11) Notify the observer at least 3 hours before an observer is transferred;
- (12) Provide a safe pilot ladder and conduct the transfer in such a way as to ensure the safety of the observer during the transfer; and
- (13) Provide an experienced crew member to assist the observer in the small boat or raft, when an observer is transferred from boat to boat at sea.

APPENDIX OF FORMS

The Daily Fishing and Cumulative Production Logbook has been prepared by the National Marine Fisheries Service (NMFS) in conjunction with the Pacific Fishery Management Council. Operators of harvester/processor vessels greater than 125 feet in length, and harvesting vessels that deliver their catch to processing vessels, that have been issued a Federal groundfish permit to harvest groundfish off the coasts of Washington, Oregon and California (WOC) must maintain these logbooks under the Federal regulations that manage the WOC groundfish fisheries (50 CFR part 663). Yellow copy pages are included for submission to the Northwest Region, NMFS. Individual logbook submissions will remain confidential, although the NMFS may release aggregated logbook data that do not identify individual vessels.

Submit yellow copies of logbooks to:

National Marine Fisheries Service
Northwest Region - Groundfish Fishery
BIN C 15700
7600 Sand Point Way N.E.
Seattle, WA 98115

Phone: 206-526-6140
Fax: 206-526-6736

LOGBOOK MAINTENANCE

1. The operator of a catcher or catcher/processor vessel must retain the original copy of this logbook on board the vessel until the end of the fishing year and for as long after the end of the fishing year as fish or fish products recorded in the logbook are retained on board that vessel.
2. No person may remove any original page of the logbook. Information recorded in the logbook must be made in indelible ink. No person may alter or change any entry in the logbook except that an inaccurate or incorrect entry may be corrected by single-lining out the original and inserting the correction, provided that the original entry or record remains legible.

INSTRUCTIONS FOR THE WOC START AND STOP REPORTS*

The WOC Start and Stop Report is required of all permitted processing vessels, and must record the following information on a periodic basis:

The WOC Start and Stop Report must be completed and submitted via fax or telex to Northwest Region Fax: 206-526-6736 within 24 hours of the initiation of fishing, receiving fish, or processing groundfish, and at least 24 hours prior to leaving the WOC. The form is divided into two identical sections for multiple submissions.

1. The full vessel name, Federal Fishing Permit for WOC the groundfish fishery and the international radio call sign must be recorded.
2. The current date (year-month-day) and time (local time).
3. The current position in geographical coordinates.
4. The WOC reporting area (670, 710, 720, 730, or 740).

* Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Public reporting burden for this collection of information is estimated to average approximately 1 minute per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the National Marine Fisheries Service - Northwest Region, Fisheries Management Division, 7600 Sand Point Way NE, Seattle WA 98115. OMB Number: 0648-0271. Expiration Date: 06/30/00. This data collection will be mandatory upon implementation of a final rule under 50 CFR 660, subpart G, and is confidential under Section 402(b) of the Magnuson-Stevens Fishery Conservation and Management Act.

INSTRUCTIONS FOR THE DAILY FISHING AND CUMULATIVE PRODUCTION LOGBOOK (DFCPL) *

The Daily Fishing and Cumulative Production Logbook (DFCPL) is required from all permitted vessels that process their own catch. Catcher vessels that deliver to a permitted processing vessel also are required to prepare the DFCPL except for section III. on production.

Entries in the DFCPL as to haul or set number, date, time, position, and estimated catch weight must be recorded within two hours after the completion of the applicable haul or set. All other information required in the DFCPL must be recorded by noon of the following day. Notwithstanding other time limits, all required information must be recorded prior to the time when the vessel's catch is off-loaded.

The operator of a catcher vessel or a catcher/processor vessel must submit the yellow copy of the DFCPL within 14 days after the vessel checks out of the fishery, **or if the fishery is closed**, or quarterly if the vessel does not check out of the fishery during the quarter to the Northwest Region of NMFS. The copy of the logbook for fishing and processing activities conducted during the first quarter of the year must be submitted by March 31, for the second quarter by June 30, for the third quarter by September 30, and for the fourth quarter by December 31 of the current year.

The DFCPL must record the following information on a daily basis:

1. The page number. This number must be consecutive beginning with page one for the first day the vessel conducted any fishing operation after the start of the fishing year in the WOC and continuing throughout the logbook for the remainder of the fishing year. A separate page in the logbook must be used for each day's fishing activity. If fishing activity is conducted with more than one gear type, or in more than one reporting area, or for more than one target species during any day, a separate page in the logbook must be used for each gear type, reporting area, or target species.
2. The date (year-month-day).
3. The vessel's full name.
4. The Federal permit number assigned for WOC groundfish fishery for the current year.
5. The three digit Federal WOC reporting area where the vessel is conducting fishing activity.
6. The gear type used by the vessel. See the inside cover page for specific gear types.
7. The last name and first initial of the NMFS observer on board or "none" if fishing without observer coverage.
8. The total number of the crew engaged for fishing and/or processing.
9. The signature of the operator of the vessel.
10. If the target species is not Pacific whiting, write the target species name next to the title at the top of the page.

I. Effort Log

The following information must be recorded for each haul or set, as appropriate to the gear type employed:

11. The haul or set number. This number must be consecutive beginning with number one for the first haul or set for the first day the vessel conducted any fishing operation after the start of the fishing year in the WOC and continuing throughout the logbook for the remainder of the fishing year.
12. The beginning time (24 hour clock, local time) of the haul or set, when the haul or set reaches fishing depth.
13. The beginning position in geographic coordinates of the vessel must be when the haul or set is at fishing depth.
14. The sea depth (in fathoms) at the beginning of the haul or set.
15. The ending time (24 hour clock, local time) of the haul or set when the winches begin to retrieve the haul or set.
16. The ending position of the vessel in geographic coordinates when the winches begin to retrieve the haul or set.
17. The total time of fishing is the duration of the trawl or set in whole minutes.
18. The number of hooks or pots must be the total amount of number of hooks or pots set at the beginning of the set.
19. The estimated total weight of the catch for the haul or set in round weight to the nearest tenth of a metric ton (0.1 mt).
20. Processor name. If for a catcher vessel's logbook, then enter the full name of the processing vessel receiving the catch. Otherwise, leave blank.

II. Catch Log

21. The species code must be the appropriate three digit WOC Federal species code. See the inside cover for details.
22. The discarded and retained weights are the total round weights of the catch to the nearest hundredth of a metric ton (0.01 mt) for that gear type, area, and day for each groundfish species or species group. If there are no discard amounts, enter zero (0).
23. Prohibited species. These species include all salmon, Pacific halibut and Dungeness crab off WOC. The whole number of individuals must be recorded for that area, gear type, and day. If no prohibited species are caught, enter zero (0).
24. The balance forward information must be the previous cumulative total catch weight for that gear type, week, and area. On the first day of the fishing week, the balance forward must be zero.
25. The cumulative total is calculated separately for each fishing week, so that on the first day of the fishing week, the balance forward is zero (0) and the cumulative total is the same as the daily total. Within the week, the cumulative total must be the sum of the current day's amount (of discarded or retained weight for groundfish and numbers for prohibited species) added to the balance forward from the previous day for that gear type, and area.

III. Production Log

The species product information for each day of processing activity which is the complete product information for all of the hauls or sets completed in that day by area and gear type. Fish product weight must be specified to the nearest hundredth of a metric ton (0.01 mt). Product information for groundfish harvested from

different reporting areas or with different gear types must be maintained separately during a weekly reporting period. Product information must include the following information for any product resulting from the processing of any groundfish species or species group with a WOC species code.

26. The species codes and corresponding product codes as they apply to primary and ancillary products. Ancillary product codes must be preceded with the letter "A." (Ancillary products are secondary products from a fish that was used primarily for a different product.)

27. The average PRR (product recovery weight) for each major product listed for the species and product codes above it on the DFCPL.

28. The daily total. The total amount of the product produced during the day by species and product codes in product weight to the nearest hundredth of a metric ton (0.01 mt).

29. The balance forward of the total product produced during the reporting week for that area and gear type. The balance forward is zero (0) on the first day of the fishing week.

30. The cumulative total product by species and product type must be the sum of the daily total product, for that gear type and area, added to the balance forward for the same gear type and area.

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Public reporting burden for this collection of information is estimated to average approximately 13 minutes per response for catcher vessels and 26 minutes per response for catcher/processors, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the National Marine Fisheries Service - Northwest Region, Fisheries Management Division, 7600 Sand Point Way NE, Seattle WA 98115. OMB Number: 0648-0271. Expiration Date: 06/30/00.

This data collection will be mandatory upon implementation of a final rule under 50 CFR 660, subpart G, and is confidential under Section 402(b) of the Magnuson-Stevens Fishery Conservation and Management Act.

INSTRUCTIONS FOR THE WEEKLY PRODUCTION REPORT*

The Weekly Production Report (WPR) is required from all permitted processing vessels.

The WPR must be completed and submitted via fax or telex to the Northwest Region, NMFS (Fax: 206-526-6736, Telex: 910-444-2786) by Thursday at 2400 hours local time, 48 hours after the end of the fishing week. The fishing week begins Wednesday at 0001 hours and ends on the following Tuesday at 2400 hours local time. The WPR is divided into two sections for entries of multiple gear types and areas during the weekly reporting periods. Extra WPR forms may be used if more than two sections are required. If the vessel acts as both a catcher/processor and mothership during the same week, a separate weekly report must be submitted for each operation. If a vessel fishes for or receives a target species other than Pacific whiting, a separate weekly report must be submitted with the name of the target species written at the top of the weekly report form.

The DFCPL and DRCPL are the basis for all the information in the WPR. The WPR must include the following information on a weekly basis:

1. The full vessel name, Federal Fishing Permit for WOC the groundfish fishery and the international radio call sign must be recorded.
2. The week ending date (year-month-day) for the reporting week. The reporting week starts on Wednesday and ends on Tuesday at midnight (2359::59 local time).
3. The submitter's legal name, contact phone number and fax or telex number.
4. The WOC reporting area (670, 710, 720, 730, or 740).
5. The gear type. See inside cover for gear types and codes.
6. The total number of days fished or received fish from a harvesting vessel, to the nearest full day by area and gear type. Any fishing activity or receipt of fish during a day constitutes a full day.
7. The total number of harvesting vessels which delivered to the processor during the week, by area and gear type. A harvester/processor vessel which does not receive outside tows must enter zero (0) in this cell. If the vessel operated as both a mothership and harvester/processor during the reporting week, then both the DFCPL and DRCPL logbooks would be used and separate WPRs would be submitted from each log.
8. The total number of hauls or sets made or received during the reporting period by area and gear type.
9. Prohibited species. The prohibited species of salmon, Pacific halibut and Dungeness crab must be recorded as the whole number of individuals caught or received during the reporting week by area and gear type.
10. The species code must be the appropriate three digit WOC Federal species code for the total catch for that WOC reporting area, gear type and fishing day.
11. The retained weight information must be the total round weight of the retained catch to the nearest hundredth metric ton (0.01 mt) for that gear type, area and reporting week. The cumulative total weight information for the full reporting week must be equal to the retained round weight for the reporting week by gear type and area.

12. The species codes and corresponding product codes to the nearest hundredth of a metric ton (0.01 mt), by gear type and area. See the inside cover for codes. Ancillary product codes must be preceded by the letter "A."

13. The average PRR (product recovery ratio) for each major product listed during that weekly recording period.

14. The weekly total product weight. The total amount of each product produced during the week, by area, gear type, species and product type, in product weight to the nearest hundredth of a metric ton (0.01 mt).

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Public reporting burden for this collection of information is estimated to average approximately 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the National Marine Fisheries Service - Northwest Region, Fisheries Management Division, 7600 Sand Point Way NE, Seattle WA 98115. OMB Number: 0648-0271. Expiration Date: 06/30/00. This data collection will be mandatory upon implementation of a final rule under 50 CFR 660, subpart G, and is confidential under Section 402(b) of the Magnuson-Stevens Fishery Conservation and Management Act.

INSTRUCTIONS FOR THE PRODUCT TRANSFER/OFFLOADING LOGBOOK*

The Product Transfer/Offloading Logbook is required of all permitted processing vessels, and must be submitted as follows:

The duplicate copy of the Product Transfer/Offloading log must be submitted to Northwest Region of NMFS within 14 calendar days **after the vessel leaves the fishery, or the fishery is closed**. Duplicate pages of the logs must be faxed, sent by mail or hand-delivered.

The Product Transfer/Offloading Logbook must record the following information on a periodic basis:

The Product Transfer/Offloading Logbook will be maintained for each transfer or offloading of WOC groundfish in the EEZ, outside the EEZ, or within the boundaries of any State. All required data must be recorded within 12 hours of the completion of the transfer.

1. The page number. This number must be consecutive beginning with page one for the first day the vessel conducted any fishing operation after the start of the fishing year in the WOC and continuing throughout the logbook for the remainder of the fishing year. A separate page in the logbook must be used for each day a transfer or offload occurs.
2. The full name of the transferring vessel, Federal Fishing Permit for WOC the groundfish fishery and the current date (year-month-day) and time (Pacific Standard Time).
3. The full name of the receiving vessel or facility, Federal Fishing Permit for WOC the groundfish fishery, the transfer or offload position in geographical coordinates, and the intended port destination.
4. The WOC reporting area (670, 710, 720, 730, or 740).

* Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Public reporting burden for this collection of information is estimated to average approximately 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the National Marine Fisheries Service - Northwest Region, Fisheries Management Division, 7600 Sand Point Way NE, Seattle WA 98115. OMB Number: 0648-0271. Expiration Date: 06/30/00.

This data collection will be mandatory upon implementation of a final rule under 50 CFR 660, subpart G, and is confidential under Section 402(b) of the Magnuson-Stevens Fishery Conservation and Management Act.

The Daily Fish Received and Cumulative Production Logbook has been prepared by the National Marine Fisheries Service (NMFS) in conjunction with the Pacific Fishery Management Council. Operators of at-sea processor vessels greater than 125 feet in length that receive groundfish off the coasts of Washington, Oregon and California (WOC) must maintain these logbooks under the Federal regulations that manage the WOC groundfish fisheries (50 CFR part 663). Yellow copy pages are included for quarterly submission to the Northwest Region, NMFS. Individual logbook submissions will remain confidential, although the NMFS may release aggregated logbook data that do not identify individual vessels.

Submit yellow copies of logbooks to:

National Marine Fisheries Service
Northwest Region - Groundfish Fishery
BIN C15700
7600 Sand Point Way N.E.
Seattle, WA 98115

Phone: 206-526-6140
Fax: 206-526-6736

LOGBOOK MAINTENANCE

1. The operator of an at-sea processor vessel (mothership) must retain the original copy of this logbook on board the vessel until the end of the fishing year and for as long after the end of the fishing year as fish or fish products recorded in the logbook are retained on board that vessel.
2. No person may remove any original page of the logbook. Information recorded in the logbook must be made in indelible ink. No person may alter or change any entry in the logbook except that an inaccurate or incorrect entry may be corrected by lining out the original and inserting the correction, provided that the original entry or record remains legible.

INSTRUCTIONS FOR THE WOC START AND STOP REPORTS*

The WOC Start and Stop Report is required of all permitted processing vessels, and must record the following information on a periodic basis:

The WOC Start and Stop Report must be completed and submitted via fax or telex to Northwest Region Fax: 206-526-6736 within 24 hours of receiving fish, or processing groundfish, and at least 24 hours prior to leaving the WOC.

1. The full vessel name, Federal Fishing Permit for WOC the groundfish fishery and the international radio call sign must be recorded.
2. The current date (year-month-day) and time (local time).
3. The current position in geographical coordinates.
4. The WOC reporting area (670, 710, 720, 730, or 740).

* Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Public reporting burden for this collection of information is estimated to average approximately 1 minute per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the National Marine Fisheries Service - Northwest Region, Fisheries Management Division, 7600 Sand Point Way NE, Seattle WA 98115. OMB Number: 0648-0271. Expiration Date: 06/30/00. This data collection will be mandatory upon implementation of a final rule under 50 CFR 660, subpart G, and is confidential under Section 402(b) of the Magnuson-Stevens Fishery Conservation and Management Act.

INSTRUCTIONS FOR THE DAILY FISH RECEIVED AND CUMULATIVE PRODUCTION LOGBOOK (DRCPL) *

The Daily Fish Received and Cumulative Production Logbook (DRCPL) is required from all permitted processing vessels that process groundfish caught by another vessel.

Entries of the date and time of a delivery, name of delivering vessel, position of the processing vessel, and estimated weight of catch received must be recorded within two hours after the receipt of the applicable haul or set. All other information required in the DRCPL must be recorded by noon of the day after the delivery occurred. Notwithstanding other time limits, all required information must be recorded prior to the time when the vessel's catch is off-loaded.

The operator of a processor vessel must submit the yellow copy of the DRCPL within 14 days after the vessel checks out of the fishery, **or the fishery is closed**, or quarterly if the vessel does not check out of the fishery during the quarter to the Northwest Region of NMFS. The copy of the logbook for processing activities conducted during the first quarter of the year must be submitted by March 31, for the second quarter by June 30, for the third quarter by September 30, and for the fourth quarter by December 31 of the current year.

The following information must be recorded in the DRCPL on a daily basis:

1. The page number. This number must be consecutive beginning with page one for the first day the vessel conducted any fishing operation after the start of the fishing year in the WOC and continuing throughout the logbook for the remainder of the fishing year. A separate page in the logbook must be used for each day in which fish is received. If receipt of fish occurs from more one gear type, or in more than one reporting area, or for more than one target species during any day, a separate page in the logbook also must be used for each reporting area, or target species.
2. The date (year-month-day).
3. The vessel's full name.
4. The Federal permit number assigned for WOC groundfish fishery for the current year.
5. The three digit Federal WOC reporting area where the harvesting vessel(s) are conducting fishing activity.
6. The gear type used by the harvesting vessel. See the inside cover for codes.
7. The last name and first initial of the NMFS observer on board or "none" if operating without observer coverage.
8. The total number of the crew processing.
9. The signature of the operator of the vessel.

I. Delivery Log

10. The haul or set number. This number must be consecutive beginning with number one for the first haul or set received for the first day the vessel conducted any fishing operation after the start of the fishing year in the WOC and continuing throughout the logbook for the remainder of the fishing year.

11. The full name and WOC permit number of the harvesting vessel delivering groundfish.
12. The local time (2400 clock, local time) of delivery to the nearest minute of receipt by the processing vessel.
13. The geographic position of the processing vessel at the time of receipt of haul or set to the nearest minute.
14. The estimated total weight of the catch for the haul or set in round weight to the nearest tenth of a metric ton (0.1 mt).
15. If the target species is not Pacific whiting, write the target species name under "Comments."

II. Receipt Log

16. The species code must be an appropriate three digit WOC Federal species code. See the inside cover for codes.
17. The discarded and retained weights are the total round weights of the catch to the nearest hundredth metric ton (0.01 mt) for that gear type, area and day for each groundfish species or species group. If there are no discard amounts, enter zero (0).
18. Prohibited species. These species include all salmon, Pacific halibut and Dungeness crab off WOC. The whole number of individuals must be recorded for that area, gear type and day. If no prohibited species are caught, enter zero (0).
19. The balance forward is the previous cumulative total catch weight for that gear type, week, and area. On the first day of the fishing week, the balance forward must be zero. The fishing week begins on Wednesday at 0001 hours and ends on the following Tuesday at 2400 hours, local time.
20. The cumulative total is calculated separately for each fishing week, so that on the first day of the fishing week, the balance forward is zero (0) and the cumulative total is the same as the daily total. Within the week, the cumulative total must be the sum of the current day's amount (of discarded or retained weight for groundfish and numbers for prohibited species) added to the balance forward from the previous day for that gear type, and area.

III. Production Log

The species product information for each day of processing activity which is the complete product information for all of the hauls or sets received in that day by area and gear type. Fish product weight must be specified to the nearest hundredth of a metric ton (0.01 mt). Product information for groundfish harvested from different reporting areas or with different gear types must be maintained separately during a weekly reporting period. Product information must include the following information for any product resulting from the processing of any groundfish species or species group with a WOC species code.

21. The species codes and corresponding product codes for primary and ancillary products. Ancillary product codes must be preceded with the letter "A."
(Ancillary products are secondary products from a fish that was used primarily for a different product.)
22. The average PRR (product recovery weight) for each major product listed for the species and product codes above it on the DRCPL.
23. The daily total. The total amount of the product produced during the day by species and product type codes in fish product weight in hundredths of a metric ton (0.01 mt).

24. The balance forward of the total product produced during the week for that gear type and reporting area. The balance forward is zero on the first day of the fishing week.

25. The cumulative total product by species and product type must be the sum of the daily total product, for that gear type and area, added to the balance forward for the same gear type and area.

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Public reporting burden for this collection of information is estimated to average approximately 13 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the National Marine Fisheries Service - Northwest Region, Fisheries Management Division, 7600 Sand Point Way NE, Seattle WA 98115. OMB Number: 0648-0271. Expiration Date: 06/30/00.

This data collection will be mandatory upon implementation of a final rule under 50 CFR 660, subpart G, and is confidential under Section 402(b) of the Magnuson-Stevens Fishery Conservation and Management Act.

INSTRUCTIONS FOR THE WEEKLY PRODUCTION REPORT*

The Weekly Production Report (WPR) is required from all permitted processing vessels.

The WPR must be completed and submitted via fax or telex to the Northwest Region, NMFS (Fax: 206-526-6736, Telex: 910-444-2786) by Thursday at 2400 hours local time, 48 hours after the end of the fishing week. The fishing week begins Wednesday at 0001 hours and ends on the following Tuesday at 2400 hours local time. The WPR is divided into two sections for entries of multiple gear types and areas during the weekly reporting periods. Extra WPR forms may be used if more than two sections are required. If the vessel acts as both a catcher/processor and mothership during the same week, a separate weekly report must be submitted for each operation. If a vessel fishes for or receives a target species other than Pacific whiting, a separate weekly report must be submitted with the name of the target species written at the top of the weekly report form.

The DFCPL and DRCPL are the basis for all the information in the WPR. The WPR must include the following information on a weekly basis:

1. The full vessel name, Federal Fishing Permit for WOC the groundfish fishery and the international radio call sign must be recorded.
2. The week ending date (year-month-day) for the reporting week. The reporting week starts on Wednesday and ends on Tuesday at midnight (2359::59 local time).
3. The submitter's legal name, contact phone number and fax or telex number.
4. The WOC reporting area (670, 710, 720, 730, or 740).
5. The gear type. See inside cover for gear types and codes.
6. The total number of days fished or received fish from a harvesting vessel, to the nearest full day by area and gear type. Any fishing activity or receipt of fish during a day constitutes a full day.
7. The total number of harvesting vessels which delivered to the processor during the week, by area and gear type. A harvester/processor vessel which does not receive outside tows must enter zero (0) in this cell. If the vessel operated as both a mothership and harvester/processor during the reporting week, then both the DFCPL and DRCPL logbooks would be used and separate WPRs would be submitted from each log.
8. The total number of hauls or sets made or received during the reporting period by area and gear type.
9. Prohibited species. The prohibited species of salmon, Pacific halibut and Dungeness crab must be recorded as the whole number of individuals caught or received during the reporting week by area and gear type.
10. The species code must be the appropriate three digit WOC Federal species code for the total catch for that WOC reporting area, gear type and fishing day.
11. The retained weight information must be the total round weight of the retained catch to the nearest hundredth metric ton (0.01 mt) for that gear type, area and reporting week. The cumulative total weight information for the full reporting week must be equal to the retained round weight for the reporting week by gear type and area.

12. The species codes and corresponding product codes to the nearest hundredth of a metric ton (0.01 mt), by gear type and area. See the inside cover for codes. Ancillary product codes must be preceded by the letter "A."
13. The average PRR (product recovery ratio) for each major product listed during that weekly recording period.
14. The weekly total product weight. The total amount of each product produced during the week, by area, gear type, species and product type, in product weight to the nearest hundredth of a metric ton (0.01 mt).

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INSTRUCTIONS FOR THE PRODUCT TRANSFER/OFFLOADING LOGBOOK*

The Product Transfer/Offloading Logbook is required of all permitted processing vessels, and must be submitted as follows:

The duplicate copy of the Product Transfer/Offloading log must be submitted to Northwest Region of NMFS **within 14 calendar days after the vessel leaves the fishery, or the fishery is closed.** Duplicate pages of the logs must be faxed, sent by mail or hand-delivered.

The Product Transfer/Offloading Logbook must record the following information on a periodic basis:

The Product Transfer/Offloading Logbook will be maintained for each transfer or offloading of WOC groundfish in the EEZ, outside the EEZ, or within the boundaries of any State. All required data must be recorded within 12 hours of the completion of the transfer.

1. The page number. This number must be consecutive beginning with page one for the first day the vessel conducted any fishing operation after the start of the fishing year in the WOC and continuing throughout the logbook for the remainder of the fishing year. A separate page in the logbook must be used for each day a transfer or offload occurs.
2. The full name of the transferring vessel, Federal Fishing Permit for WOC the groundfish fishery and the current date (year-month-day) and time (Pacific Standard Time).
3. The full name of the receiving vessel or facility, Federal Fishing Permit for WOC the groundfish fishery, the transfer or offload position in geographical coordinates, and the intended port destination.
4. The WOC reporting area (670, 710, 720, 730, or 740).

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DAILY FISHING AND CUMULATIVE PRODUCTION LOGBOOK

PAGE			YEAR-MONTH-DAY			VESSEL NAME			WOC PERMIT NUMBER			AREA			GEAR			OBSERVER NAME			NUMBER CREW			OPERATOR'S SIGNATURE		

Estimated catch weight to the nearest 0.1 mt EFFORT LOG

HAUL/ SET	BEGIN TIME	BEGINNING LATITUDE	POSITION LONGITUDE	SEA DEPTH	TRAWL DEPTH	ENDING TIME	ENDING POSITION LATITUDE	LONGITUDE	TOTAL TIME	#HOOKS or POTS	ESTIMATED CATCH WT.	PROCESSOR NAME

Round weight to the nearest 0.01 mt CATCH LOG

SPECIES CODE										PROHIBITED SPECIES			
DISCARDED WEIGHT											Salmon	Halibut	Dung- Crab
BALANCE FORWARD										Number Caught			
CUMULATIVE TOTAL WT										Balance Forward			
RETAINED WEIGHT										Cumulative Total No.			
BALANCE FORWARD													
CUMULATIVE TOTAL WT													

Product weight to the nearest 0.01 mt PRODUCTION LOG

SPECIES CODE											
PRODUCT TYPE											
AVERAGE PRR											
DAILY TOTAL											
BALANCE FORWARD											
CUMULATIVE TOTAL											

OMB Number: 0648-0271. Expiration Date: 06/30/00.

PAGE			YEAR-MNTH-DAY			PROCESSOR'S NAME	WOC PERMIT NUMBER	AREA			GEAR	OBSERVER NAME	# CREW	OPERATOR'S SIGNATURE

Estimated catch weight to nearest 0.1 mt DELIVERY LOG

[illegible]

Round weight to the nearest 0.01 mt **RECEIPT LOG**

SPECIES CODE									PROHIBITED SPECIES			
DISCARDED WEIGHT										Salmon	Halibut	Dung-Crab
BALANCE FORWARD									Number Caught			
CUMULATIVE TOTAL WT									Balance Forward			
RETAINED WEIGHT									Cumulative Total No.			
BALANCE FORWARD												
CUMULATIVE TOTAL WT												

Product weight to the nearest 0.01 mt PRODUCTION LOG

[illegible]

PAGE			TRANSFERRING VESSEL NAME			WOC PERMIT NUMBER			YR-MTH-DAY			LOCAL TIME		OPERATOR'S SIGNATURE		
RECEIVING COMPANY &/or VESSEL NAME				WOC FEDERAL PERMIT NUMBER			POSITION OF OFFLOAD			DESTINATION OF RECIPIENT (Name and location of port)						
							LATITUDE		LONGITUDE							

[illegible]

VOC GROUNDFISH WEEKLY PRODUCTION REPORT

OMB Number: 0648-0271. Expiration Date: 06/30/2018

[illegible]

AREA	GEAR TYPE	No. DAYS PROCESSED	No. DELIVERY VESSELS	No. DELIVERIES	PROHIBITED SPECIES:	ALL SALMON SPECIES	PACIFIC HALIBUT	DUNGENESS CRAB
					NUMBER CAUGHT AND DELIVERED:			

[illegible][illegible]

AREA	GEAR TYPE	No. DAYS PROCESSED	No. DELIVERY VESSELS	No. DELIVERIES	PROHIBITED SPECIES:	ALL SALMON SPECIES	PACIFIC HALIBUT	DUNGENESS CRAB
					NUMBER CAUGHT AND DELIVERED:			

[illegible][illegible]

WOC START & STOP NOTICE

VESSEL NAME	WOC FEDERAL PERMIT	RADIO CALL SIGN

START or STOP (Circle only one)

DATE			PACIFIC LOCAL TIME	START or STOP POSITION		WOC AREA
YR	MTH	DAY		LATITUDE	LONGITUDE	

Send to: Northwest Regional Director
Northwest Region Fisheries Management, NMFS
7600 Sand Point Way N.E.
BIN C15700, Building 1
Seattle, WA 98115

FAX: 206-526-6736
Phone: 206-526-6140

LOG BOOK CODES

GROUND FISH SPECIES CODES

	<u>ROUND FISH:</u>
280	<i>Pacific whiting</i>
110	Pacific cod
130	Lingcod
290	Jack mackerel
295	Pacific mackerel
710	Sablefish
285	Pacific sardine
	<u>FLAT FISH:</u>
121	Arrowtooth flounder
124	Dover sole
128	English sole
131	Petrale sole
125	Rex sole
123	Rock sole
129	Starry flounder
120	Other flatfish
	<u>ROCK FISH:</u>
137	Bocaccio rockfish
146	Canary rockfish
176	Chilipepper RF
141	Pacific ocean perch
177	Shortbelly RF
143	Thornyhead RF
156	<i>Widow rockfish</i>
155	<i>Yellowtail RF</i>
139	Other rockfish
	<u>OTHER:</u>
100	Other groundfish: cabezon, ratfish, morids, and grenadiers.
999	Other species: invertebrates and miscellaneous items.

PRODUCT TYPE CODES ¹

1	Whole fish/food fish
2	Whole bait
3	Bled only
4	Gutted only
5	Headed and gutted (H & G)
6	H & G with roe
7	H & G, Western cut
8	H & G, Eastern cut
9	H & G, with pectoral girdle
10	H & G, tail removed
11	Kirimi
12	Salted and split
13	"Wings"
14	Roe only
15	Pectoral girdle only
16	Heads
17	Cheeks and chins
19	Belly flaps
20	Fillets with skin and ribs
21	Fillets with skin, no ribs
22	Fillets with ribs, no skin
23	Fillets, no skin or ribs
30	<i>Surimi</i>
31	<i>Minced fish</i>
32	<i>Fish meal</i>
33	<i>Fish oil</i>
34	Milt
35	Stomachs (organ)
36	Octopus/squid mantles
37	Split, no backbone
39	Bones
97	Other - specify

GEAR TYPE CODES ²

BTR	Bottom trawl
PTR	<i>Pelagic trawl</i>
BOB	Bobbin or roller trawls
HKL	Hook and line or longline
POT	Pots or traps

1/ Main products should be recorded with the appropriate listed code. Additional (ancillary) products from the same fish should be recorded with the appropriate product code preceded by the letter "A" (EXAMPLE: A32 is meal from a fish whose main product has already been recorded.)

2/ See current regulations at 50 CFR Part 660, subpart G for legal definitions of gear and gear restrictions. (Available from the Northwest Region, NMFS).

PROHIBITED SPECIES CODES:

(codes not required to be entered in logs)

101	Pacific halibut
220	Non-chinook salmon
222	Chinook salmon